

1 **First web-based Global Point Prevalence Survey of Antimicrobial Consumption and Resistance**  
2 **(GLOBAL-PPS) in 53 Countries : results on hospitalized adults**

3

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28

29 **Abstract**

30

31 **Background**

32 The Global Point Prevalence Survey (Global-PPS) established an international network of hospitals to  
33 measure antimicrobial prescribing and resistance worldwide.

34

35 **Methods**

36 Using a standardized surveillance method, detailed data were collected in 2015 from 335 hospitals (H) in  
37 53 countries (C): Europe (25C;215H); Africa (5C;12H), Asia (15C;56H), Americas (6C;43H), Oceania (2C;9H)  
38 for all inpatients receiving an antimicrobial on the day of the survey. We report findings for adult  
39 inpatients.

40

41 **Findings**

42 Out of 100,591 inpatients, 86,776 were admitted to adult wards (n=3,315); of which 34.4% (n=29,891  
43 patients) received at least one antimicrobial. Among 48,436 antimicrobials in the entire survey, 41,213  
44 were used in adult wards of which 89.6% antibacterial agents (ATC J01) for systemic use.

45 The top three antibiotics included penicillin beta-lactamase inhibitor combinations (highest in Western  
46 Europe:33.3% and Northern Europe:28.6%); third-generation cephalosporins (highest in Eastern  
47 Europe:49.4% and Western and Central Asia:25.0%); and fluoroquinolones (highest in Northern  
48 America:19.1%). Carbapenems were most frequently prescribed in Latin America and Western and  
49 Central Asia (9.0%). Among 19.8% patients with targeted treatment, 5.9% (range:2.8% in Africa to 15.2%  
50 in Latin America) received an antibiotic targeting a multidrug resistant organism. Latin America and East  
51 and Southern Asia reported the highest number of patients having at least one healthcare associated  
52 infection (11.9% and 10.1% respectively). Overall, the reason for treatment was recorded in 76.9% and a  
53 stop/review date in 38.3% of antimicrobial prescriptions. Local antibiotic guidelines were missing in  
54 19.2% of recorded diagnosis and guideline compliance was 77.4%.

55

56 **Interpretation**

57 This Global-PPS demonstrated that worldwide surveillance can be accomplished with voluntary  
58 participation. It uniquely provided quantifiable measures to assess and compare quantity and quality of  
59 antibiotic prescribing and resistance in hospitalized patients worldwide ([www.global-pps.com](http://www.global-pps.com)). These  
60 data serve to improve quality of antibiotic prescribing through education and practice changes. The  
61 Global-PPS is particularly useful for Low-Middle-Income-Countries for which no tools are available to  
62 monitor antibiotic prescribing in hospitals.

63

64 **Funding**

65 bioMérieux provided unrestricted funding support for the survey.

66

67

68 **Research in context**

69

70 **Evidence before this study**

71 Surveillance systems monitoring antimicrobial use and resistance are the cornerstone to successfully  
72 implement sustainable antimicrobial stewardship programmes. They are needed to enhance decision-  
73 making and assess the impact of interventions. A Point Prevalence Survey (PPS) is a well demonstrated  
74 method which has shown its applicability and benefit in European hospitals and beyond.

75

76 **Added value of this study**

77 The project, called the Global-PPS, assessed the prevalence of antimicrobial use and resistance  
78 worldwide. The Global-PPS offered a simple protocol and tool for data entry and immediate feedback  
79 enabling direct benchmarking with other (primary, secondary or tertiary care) hospitals and wards (e.g.  
80 Intensive Care, haematology/oncology, transplant, pneumology, surgery), by country and region.  
81 Hospitals in Low and Middle Income Countries (LMIC) were for the first time able to measure and  
82 compare antimicrobial use patterns at local and regional level. The Global-PPS allows to share best  
83 practises and raise awareness of inappropriate antimicrobial prescribing. Tangible quantifiable quality  
84 indicators were offered to improve antibiotic prescribing at hospital level. Participants became part of a  
85 unique and strong network supporting them in the process of data collection, -entry, -analysis,  
86 communication of their results.

87

88 **Implications of all the available evidence**

89 The WHO has developed the Global Antimicrobial Surveillance System (GLASS) that provides countries  
90 with a standardized approach for collecting, analyzing, and sharing data on AMR. The Global-PPS can  
91 complement this by providing a validated method for measuring the quality of antimicrobial prescribing  
92 and the impact of interventions to improve antimicrobial prescribing. Governments can use this to  
93 support the antimicrobial stewardship framework as part of their WHO National Action Plans, whereas

94 the Interagency Coordination Group (IACG) on AMR of the United Nations could use it for international  
95 mapping of antimicrobial prescribing and resistance in hospitals, and for building a sustainable hospital  
96 surveillance framework, focusing on LMIC.

97

98

99

100 **Introduction**

101

102 The need for information and data related to the quantity and quality of antimicrobial prescribing has  
103 been identified as one of the key barriers in the successful development and implementation of  
104 antimicrobial stewardship programmes internationally.<sup>1</sup> Surveillance systems to monitor antimicrobial  
105 use and resistance are needed to enhance decision-making and assess the impact of interventions.<sup>2, 3</sup>  
106 Moreover, audit and feedback of prescribing practices complements and enhances<sup>4</sup> other core  
107 stewardship interventions.<sup>5, 6</sup>

108

109 The Global Point Prevalence Survey of Antimicrobial Consumption and Resistance (Global-PPS) was  
110 developed to further build on three point-prevalence surveys (PPS) carried out by the European  
111 Surveillance of Antimicrobial Consumption (ESAC) project between 2006 and 2009.<sup>7, 8</sup> Several studies on  
112 the applicability and benefits of a PPS of antimicrobial use demonstrated their value in a range of  
113 European hospitals.<sup>8-11</sup> The ESAC-PPS methodology was adapted for the European Centre for Disease  
114 Prevention and Control (ECDC) PPS of healthcare-associated infections and antimicrobial use in acute  
115 care hospitals (ECDC-PPS)<sup>12</sup> as well as for the Antibiotic Resistance and Prescribing in European Children  
116 (ARPEC-PPS) project that focused on antimicrobials administered to paediatric and neonatal patients  
117 worldwide.<sup>13-16</sup>

118 Following the 4<sup>th</sup> World Healthcare-Associated Infections and Antimicrobial Resistance Forum on,<sup>2</sup>  
119 bioMérieux decided to support a project to assess the international prevalence of antimicrobial use and  
120 resistance, called the Global-PPS, prioritizing countries with limited resources, support and expertise.<sup>17</sup>

121

122 We report on the first Global-PPS conducted in 2015. The current paper describes antibiotic prescribing  
123 practises among hospitalized patients admitted to adult wards only (hereafter called “adult inpatients”),  
124 in order to determine the variation in quantity and quality of antimicrobial prescribing and resistance  
125 rates across continents.

126

127 **Materials and methods**

128

129 Countries and hospitals

130

131 Any hospital was welcome to join the ad hoc Global-PPS network. Promotion of the study was done  
132 through existing ESAC- and ARPEC-PPS hospital networks, during the 2014 ECCMID congress  
133 (<http://2014.eccmid.org/>), through the aid of bioMérieux subsidiaries and members of the HAI/AMR  
134 Forum.<sup>17</sup>

135 The Global-PPS was first piloted in October-November 2014 in 33 hospitals worldwide. Key amendments  
136 following this pilot included improvements to the Global-PPS software tool, not protocol amendments.  
137 The full Global-PPS was conducted between January and September 2015. The data of hospitals  
138 successfully participating in the pilot Global-PPS (n=18) were transferred for inclusion in the full Global-  
139 PPS data-set for final analysis. As such, we finally included 335 hospitals from 53 countries belonging to  
140 the five United Nation (UN) regions (Africa, Americas, Asia, Europe and Oceania).<sup>18</sup> Depending on the  
141 number of hospitals participating, countries were grouped into UN sub-regions. For the Americas, Latin  
142 America and the Caribbean (hereafter called Latin America because of lack of data on the Caribbean) and  
143 Northern America were defined. For Asia, Southern, Eastern and South-eastern Asia (hereafter called  
144 East and Southern Asia) on one side and Western and Central Asia on the other side were grouped  
145 together. For Europe, four sub-regions (i.e. Eastern, Northern, Southern, Western) were defined.<sup>18</sup>  
146 Details on the participating countries and the number and type of hospitals is available as supplementary  
147 material (Appendix I). Hospitals were classified according to primary, secondary, tertiary (including  
148 infectious diseases hospitals) and paediatric hospitals, as previously defined by the European Centre for  
149 Disease Prevention and Control (ECDC).<sup>19</sup> Overall, five main ward types were defined for adult and  
150 paediatric wards separately: medical wards; surgical wards; intensive care units; haematology/oncology  
151 wards and transplant (bone marrow transplant/solid) medical wards. For adult wards we also specified

152 pneumology medical wards. Neonatal wards included neonatal intensive care units and general neonatal  
153 medical wards.

154

155 Data collection

156

157 As detailed in the protocol (Appendix II), each ward had to be surveyed once within the fixed time  
158 period. The one-day cross sectional PPS included all inpatients admitted in the ward at 8:00 am the day  
159 of the survey. Data collection was performed using a ward (recording of denominators) and a patient  
160 (recording of numerators) paper form. Definitions on the different variables are available as  
161 supplementary material in appendix II and III. In summary, for each patient receiving at least one  
162 antimicrobial, mandatory data included information on patient characteristics, received antimicrobial  
163 agents and details on the diagnosis and indication according to predefined lists (Appendix III). Four main  
164 types of indications categorized into two major categories were used. The first included therapeutic  
165 antimicrobial prescribing for community-acquired (CAI) and health-care associated infections (HAI). A HAI  
166 was defined as an infection whereby symptoms started 48 hours after admission to the hospital. The  
167 second category included antimicrobial prescribing for surgical and medical prophylaxis. Retrospective  
168 information on surgical prophylaxis was captured in the previous 24 hours of the surgery indicating 1  
169 dose, multiple doses in 1 day or multiple doses for more than one day. Additional antimicrobial quality  
170 indicators included 1) the diagnosis being documented in the patient's notes at the start of treatment; 2)  
171 the antibiotic prescription (choice) being compliant with local guidelines and 3) if a stop or review date of  
172 the antimicrobial prescription was documented in the notes. Additionally, empiric or targeted treatment  
173 (based upon microbiology data from a relevant clinical specimen (e.g., blood, sputum, etc.,) [excluding  
174 screening tests]) was recorded. If the treatment choice was determined by available microbiology data,  
175 the participant could indicate if it targeted one of the 9 multidrug-resistant organisms as described in  
176 Table 6 and appendix III. Finally, information included whether biomarker data (C-reactive protein (CRP),



177 procalcitonin (PCT) or any other biomarker) were used in supporting the decision to prescribe.  
178 Denominators included the total number of patients present on the ward census at 8 am.

179

180 Antimicrobials included antibiotics for systemic use (J01), antimycotics (J02) and antifungals (D01B) for  
181 systemic use, drugs to treat tuberculosis (J04A), oral antibiotics prescribed as intestinal anti-infectives  
182 (A07AA; e.g. oral vancomycin), nitroimidazole derivatives (P01AB), neuraminidase inhibitors (J05AH) and  
183 antimalarials (P01B). All antimicrobials were online automatically classified according to the standardized  
184 and internationally recognized WHO Anatomical Therapeutic Chemical (ATC) classification system  
185 classifying drugs based on their main therapeutic use (WHO, version 2014).<sup>20</sup>

186 The protocol (supplementary material, appendix III) mentioned that no discussion or personal judgment  
187 on the appropriateness, or lack thereof, of antibiotic prescribing should be entertained during the survey.  
188 Data were inputted into the freely available Global-PPS program, a web-based application for data-entry,  
189 validation and reporting. A helpdesk and several supplementary documents such as a frequently asked  
190 questions (FAQ) list were freely available to support the participants.

191 Data validation included several online in-built checks providing errors and warnings that had to be  
192 managed by the user in order to download a real-time feedback report (see discussion: study strengths).  
193 Since total inpatient inclusion at the hospital level was requested but not mandatory, the participants had  
194 to report whether they surveyed the whole hospital or not (i.e. a check on completeness of data). The  
195 software was further designed to avoid missing and erroneous data-entry in the numerator such as  
196 inconsistencies between the indication and the diagnosis (e.g. an antibiotic given for prophylactic use but  
197 prescribed for sepsis), extremely high total daily dose, double entry of the same substance; as well as  
198 denominator error avoidance (see also appendix II, data validation). In addition, all hospitals with an  
199 overall antibiotic prevalence of more than 70% were individually contacted in order to confirm the  
200 described prevalence.

201 All data were completely anonymized within the database and safeguarded at the University of Antwerp,  
202 Belgium. However, all data remained the property of the hospital. Since participation was exclusively on  
203 a voluntary basis, results were not intended to be representative for a country or region. Depending on  
204 the countries' legal requirements, hospitals had to comply with local ethical approval. A data privacy  
205 excerpt document was available for this purpose. Informed consent was not needed because the survey  
206 did not require direct involvement or contact with the patient, treatment nor other intervention.

207

208 Data analysis

209

210 For the final analyses, 303 hospitals were eligible for inclusion: 22 pediatric hospitals (see appendix I) as  
211 well as another three hospitals which did not report data on adult wards were removed from analyses.

212 Also another 7 hospitals were excluded due to unsolved denominator issues.

213 This paper focusses on prescribing patterns of antibiotics for systemic use (ATC J01) and is reported as 1)  
214 the number of treated patients, 2) the number of therapies and 3) the number of prescriptions. Therapy  
215 was defined as one treatment (received at least one antibiotic) per diagnosis. A prescription was defined  
216 as the use of one substance in one route of administration. Antimicrobial prescribing rates are expressed  
217 as a percentage of the patients on antimicrobials, or as a percentage of all antibiotic or antimicrobial  
218 prescriptions (proportional use), means and/or ranges aggregated at UN regional level,<sup>18</sup> by ward type  
219 and indication. We ranked the number of antibiotics accounting for 90% and 75% of (antibiotic) drug  
220 utilization (DU90% and DU75%). Antibiotic resistance patterns are expressed as the proportion of  
221 patients receiving at least one antibiotic targeting at least one resistant micro-organism out of all  
222 patients for which an antimicrobial result (targeted treatment) was available.

223

224 **Results**

225

226 General overview

227

228 The final 2015 Global-PPS dataset included 45 primary care (6,264 patients, 6.2%), 131 secondary care  
229 (34,571 patients, 34.4%), 111 tertiary care (51,051 patients, 50.8%), 22 pediatric hospitals (4,091  
230 patients, 4.1%) as well as 19 infectious diseases or specialized hospitals (4,614 patients, 4.6%) (see  
231 Appendix I). The number of beds of participating hospitals ranged from 16 to 2,500 beds (82 hospitals fell  
232 below P25=153 beds; P50=293 beds; P75=520 beds; 33 hospitals fell above P90=817 beds of which 19  
233 had a bed capacity of >1,000 beds). We collected data on 100,591 patients admitted to 4,031 wards of  
234 which 3,315 adult wards accounting for 86,776 patients. Overall, 52.6% of treated patients were males  
235 (range 45.6% in Northern America to 57.3% in Eastern Europe).

236 Out of 48,436 antimicrobial prescriptions, 41,213 were prescribed on adult wards. Antibacterials for  
237 systemic use (ATC code J01) represented 89.6% (N=43,391), followed by antimycotics and antifungals for  
238 systemic use (J02 and D01BA, 4.3%, N=2,073), drugs to treat tuberculosis (J04A, 2.3%, N=1136),  
239 nitroimidazole derivatives (P01AB, 1.9%, N=929), antibiotics prescribed as intestinal anti-infectives  
240 (A07AA, 1.6%, N=781) and neuraminidase inhibitors (J05AH, 0.3%, N=126).

241 Antimicrobial use rates among participating hospitals varied between continents (range: 31.9% in Europe  
242 to 50.0% in Africa) and ward types (range: 29.0% in medical wards to 77.0% in transplant (BMT/solid)  
243 medical wards) (Table 1).

244

245 Antibiotic drug utilization

246

247 In total, 36,792 antibacterials for systemic use were used in patients admitted to adult wards on the day  
248 of the survey, including 139 different agents (Table 2). The DU90% for East and Southern Asia comprised  
249 31 antibacterials, while this was much lower for Africa and for Eastern Europe (15 and 13 respectively).

250 The combination of penicillins with a beta-lactamase inhibitor were the most commonly prescribed class  
251 (20.1%, mainly amoxicillin with beta-lactamase inhibitor (11.4%) and piperacillin with beta-lactamase  
252 inhibitor (7.7%) of total use of antibiotics). The second and third most commonly prescribed antibiotics

253 were third-generation cephalosporins (14.1%, mainly ceftriaxone (11.0%)) and fluoroquinolones (12.3%,  
254 mainly ciprofloxacin (6.8%) and levofloxacin (4.1%)). Complementary to Table 2, a supplementary figure  
255 (appendix IV) shows the most commonly prescribed subgroups of antibiotics by UN region.

256

#### 257 Antibiotic prescribing by indication

258

259 The top 5 indications accounted for 45.9% of treated patients. Pneumonia was overall the most common  
260 indication (19.2% of all treated patients). The next most common reasons were skin and soft tissue  
261 infections (9.0%), intra-abdominal infections (7.0%), lower urinary tract infections (cystitis, 6.0%) and  
262 upper urinary tract infections (pyelonephritis, 4.7%). Table 3 summarizes the most common indications  
263 for treatment in patients admitted to adult wards, by UN region.

264

#### 265 Therapeutic prescribing

266

267 Table 4 compares the number of prescribed antibacterials (J01) by indication and type of treatment.  
268 Overall, most antibiotics were prescribed for community-acquired infections (CAI) (45.6%). Targeted  
269 prescribing was more common for HAI (36.9%).

270 The overall prevalence of adult inpatients treated with antibacterials (J01) for at least one HAI was 8.4%  
271 (n=7,278). Lowest rates were found in Eastern Europe (2.8%), followed by Southern Europe (7.5%),  
272 Western Europe (7.7%), Western and Central Asia (8.7%), Northern Europe (8.8%), Oceania (8.9%),  
273 Northern America (9.6%), East and Southern Asia (10.1%) and Latin America (11.9%). The most frequent  
274 reported indications (see online Appendix III, page 7, supplementary data collection forms for type of  
275 indication) were non-intervention related or other HAI (4.2%), followed by post-operative surgical site  
276 infections (1.6%).

277

278 The most prescribed antibiotics for a HAI were penicillins with a beta-lactamase inhibitor (24.8%) of  
279 which piperacillin and beta-lactamase inhibitor accounted for 14.6% (highest use in Northern Europe;  
280 24.2% and Northern America; 15.2%) and amoxicillin and beta-lactamase inhibitor for 8.9% (highest use  
281 in Western Europe; 17.7% and Northern Europe; 11.9%). Fluoroquinolones were the second most  
282 prescribed (12.8%), with highest use in Eastern Europe (24.4%; mainly ciprofloxacin, 15.9% and  
283 moxifloxacin, 7.3%), Northern America (18.9%; mainly levofloxacin, 11.8% and ciprofloxacin, 7.0%) and  
284 lowest in Northern Europe (5.2%). Carbapenems, mainly meropenem, were the third most frequently  
285 prescribed antibiotic class accounting for 12.2% of worldwide antibiotic use for a HAI with highest use  
286 observed for Western and Central Asia, Africa and Latin America (all 20%). The fourth most frequently  
287 prescribed were glycopeptides (mainly vancomycin) with highest use in Latin and Northern America  
288 (18.1% and 13.8% of total antibiotic use for HAI, respectively) (Figure 1).

289

290 The most commonly prescribed antibiotics for CAI were penicillins with a beta-lactamase inhibitor  
291 (29.2%) of which amoxicillin and beta-lactamase inhibitor accounted for 16.3% (highest use in Western  
292 Europe; 33.8% and Northern Europe; 14.5%) and piperacillin and beta-lactamase inhibitor for 7.7%  
293 (highest use in Northern Europe; 14.0% and Northern America; 12.7%). Third-generation cephalosporins  
294 were second most common prescribed (15.5%, mainly ceftriaxone) with highest rates observed in  
295 Eastern Europe, Latin America and Western and Central Asia (52.0%, 30.1%, 27.0% of total antibiotic use  
296 for treatment of CAI). Fluoroquinolones ranked third (14.0%) and were frequently prescribed for a CAI in  
297 Northern America (20.1%, mainly levofloxacin) and Southern Europe (19.0%, mainly ciprofloxacin). Figure  
298 2 shows the most commonly prescribed antibiotics to treat a CAI, by region.

299

300 Prophylactic prescribing

301

302 On average, 26.6% (range: 16.6% in Northern America to 41.5% in Eastern Europe) of adult patients  
303 receiving antibiotics were administered at least one antibiotic for prophylaxis. The overall mean

304 prevalence of surgical prophylaxis was 17.8% (Table 4). Cefazolin was the most commonly prescribed  
305 antibiotic for surgical prophylaxis (27.5%) with highest prescribing rates observed for Oceania (64.5% of  
306 total surgical prophylactic prescribing), Northern America (62.4%) and Western Europe (57.7%).  
307 Ceftriaxone was most commonly prescribed in Eastern Europe, Southern Europe and Africa (39.5%,  
308 28.0% and 27.7% respectively). Prolonged surgical prophylaxis (>1 day) was very common in all regions,  
309 ranging from 40.6% in Oceania to 85% and 86.3% in Southern and Eastern Europe.

310

311 The overall mean prevalence of medical prophylaxis was 7.4% (Table 4). Many different antibiotics were  
312 used for medical prophylaxis with sulfamethoxazole/trimethoprim being predominant worldwide  
313 (highest use in Oceania and East and Southern Asia, 63.4% and 56.0% of total medical prophylactic  
314 prescribing respectively). Ceftriaxone was most commonly prescribed in Eastern Europe, Southern  
315 Europe and Western and Central Asia (54.2%, 16.9% and 16.9% respectively).

316

317 Quality indicators of antibiotic prescribing

318

319 Table 5 provides an overview of all selected antimicrobial quality indicators by region. The stop/review  
320 date was poorly documented (overall 38.3% of antimicrobial prescriptions).

321

322 Antibiotic treatment based on microbiology data

323

324 Among 29,891 treated patients, 1,769 (19.8%) received a targeted antibacterial (J01) treatment (range:  
325 7.8% in Eastern Europe to 26.5% in Latin America), 5.9% of whom received the treatment targeting at  
326 least one multidrug-resistant organism (Table 5). Overall, 60% of these patients received antibiotics  
327 targeting gram negative bacteria (GNB) with highest proportional numbers observed in Eastern Europe.  
328 Only in Northern America, a higher proportion of patients received targeted treatment against Gram-

329 positive bacteria. Table 6 shows the prevalence of patients receiving targeted treatment against resistant  
330 bacteria by UN region.

331

## 332 **Discussion**

333

334 We demonstrated the feasibility of conducting the Global-PPS, which focused on antibiotic prescribing  
335 and resistance, with a simple and affordable method on an international scale. Many hospitals were able  
336 to assess antibiotic prescribing patterns and collect information on antibiotic resistance in their hospital  
337 for the first time. This is essential for developing antimicrobial stewardship programs. Other PPSs have  
338 been conducted successfully in High Income Countries (HIC) of the European Union and the United States  
339 of America<sup>21, 22</sup>, but this simple Global-PPS tool also allowed the participation of a large number of  
340 hospitals in Low and Middle Income Countries (LMIC) to collect information on antimicrobial use and  
341 resistance.

342

343 We found substantial differences in the prevalence of antibiotic prescribing between and within regions  
344 or countries with the highest prevalence found in Africa (50.0%; country range 27.8%-74.7%) and the  
345 lowest in Eastern Europe (27.4%; country range 23.7%-27.8%). The overall prevalence for Europe (31.9%;  
346 range: 23.7% in Bulgaria to 62.0% in the Former Yugoslav Republic of Macedonia (results not show)) was  
347 comparable with the weighted prevalence of previous PPS in Europe in 2011-2012 (32.6%; range: 21.4%  
348 in France to 54.7% in Greece)<sup>21</sup>, but lower as compared to the PPS conducted in 183 US hospitals in 2011  
349 (49.9%; CI 49.0%-50.9%).<sup>22</sup> Amoxicillin with beta-lactamase inhibitor was found to be the most frequently  
350 prescribed antibiotic in this survey, which is related to its high prescribing rates in Western Europe  
351 (25.6%), mainly represented by Belgian hospitals (Table 2). Except for Western Europe, this is in line with  
352 the ECDC-PPS in 2011-2012 whereby this agent represented on average 11.% of all antimicrobial  
353 agents.<sup>21</sup> The third-generation cephalosporins, mainly ceftriaxone, ranked second, and this was due to  
354 the high prescribing rates observed in Asia, Latin America, Southern and Eastern Europe; both for CAI

355 and HAI. The high use of ceftriaxone in these regions of the world may indicate that at least a proportion  
356 of this prescribing may be inappropriate. Fluoroquinolones ranked third among antibiotics prescribed  
357 during this global PPS, due to the high use of levofloxacin in hospitals in Northern America and East and  
358 Southern Asia (mainly for pneumonia in both cases), and the high use of ciprofloxacin, mainly for cystitis  
359 in Western Europe and various indications elsewhere in Europe. Striking differences of levofloxacin use  
360 were found in the Americas (12.8% in Northern America versus 1.2% in Latin America) and Asia (7.4% in  
361 East and Southern Asia versus <1.0% in Western and Central Asia) (Table 2). There may be differences in  
362 cost or access to fluoroquinolones that preclude their use in certain locations which may vary  
363 substantial, among and within countries. We also speculate that these differences could be due to  
364 marketing strategies and/or regulation of antibiotics in these regions. Remarkably high vancomycin use  
365 was noted in Northern and Latin American hospitals. This high vancomycin use can be explained by high  
366 MRSA rates reported for American hospitals,<sup>23</sup> which is in line with the high percentage of patients with  
367 targeted treatment against MRSA infections in this Global-PPS (table 6). Carbapenems (mainly  
368 meropenem) were widely prescribed in Latin America and Asia. These high prescribing rates are most  
369 likely due to the high rates of infections caused by ESBL-producing Gram-negative bacteria, which has  
370 been reported in previous surveillance studies,<sup>24-26</sup> and which is in line with the observed rates in our  
371 Global-PPS (table 6).

372

373 The most frequent indication for antibiotic therapy worldwide was pneumonia, followed by urinary tract  
374 infections (UTI), combining upper and lower UTI's. We need more in-depth analyses to find out the  
375 proportion of healthcare associated ESBL UTI's. There appeared to be high proportion of prophylaxis for  
376 a range of indications, but unusually high prophylactic use for gastro-intestinal infections in Western and  
377 Central Asia. Further research is warranted in order to understand the reasons for this.

378



379 Our study investigated five antibiotic quality indicators to identify areas of inappropriate antibiotic  
380 prescribing. These can easily be used to set benchmarks for quality improvement of antibiotic use in  
381 hospitals.<sup>10</sup>

382 The first indicator referred to the documentation of the reason for prescription in the patient notes. This  
383 indicator ensures communication of diagnosis and treatment among clinicians and other healthcare  
384 providers, allows prescription stop or review dates and other interventions such as de-escalation. In  
385 Northern and Western Europe, America and Oceania, the outcome of this indicator is comparable to the  
386 2009 ESAC-PPS conducted among European adults (80%).<sup>8</sup> Lower scores were found for Eastern (64%)  
387 and Southern European (70%), African (70%) and Asian hospitals (73%).

388 The second indicator refers to the formal procedure for a physician or other staff member to review the  
389 appropriateness of an antimicrobial administered at or after 48 hours from the initial order (post-  
390 prescription review).<sup>27</sup> It refers to the existence of a policy or agreed intervention preventing  
391 unnecessarily long antibiotic courses and ensures that the chosen antibiotic and its route of  
392 administration is still appropriate. Such a policy has an impact on selection pressure, prevention of  
393 adverse effects such a drug related toxicity and ecological damage leading to *C.difficile* infection. In less  
394 than one third of antimicrobials prescribed in Southern Europe, Western and Central Asia and Oceania, a  
395 stop/review data was recorded. In other areas, less than half of all prescriptions included a stop/review  
396 date. These data indicate the need to perhaps target this review process as a key intervention and  
397 measure its impact by repeated PPS.<sup>10</sup>

398 The third quality indicator referred to parental administration which was highest in Western and  
399 Central Asia, Latin America, Eastern and Southern Europe (>80% of patients on antibiotics). Broad-  
400 spectrum antibiotics are commonly administered in these regions (such as third generation  
401 cephalosporins) for which broad-spectrum antibacterial oral equivalents are often lacking. The switch  
402 from intravenous to oral antibiotics has many well-known advantages such as reduction in catheter-  
403 related complications, less healthcare costs and earlier hospital discharge. This is recognised as a key

404 metric for stewardship processes in hospitals.<sup>27, 28</sup> On the other hand, it is not known to what extent  
405 different antibiotic administration routes have an impact on antimicrobial resistance.<sup>29</sup>

406 The fourth quality indicator referred to the existence and adherence to antibiotic treatment guidelines.  
407 In Western and Central Asia, local guidelines were not available in 40% of antibiotic prescriptions,  
408 especially for medical prophylaxis in the absence of a clear diagnosis. In one of the African countries,  
409 11% of patients were treated with antibiotics for which the diagnosis was unknown, contrary to  
410 guidelines for LMIC which state that “an appropriate treatment must be preceded by diagnoses that  
411 ensures the correct clinical path”.<sup>30</sup> This involves the existence of a clinical microbiology laboratory  
412 and antimicrobial stewardship involvement in for example daily laboratory rounds.<sup>31</sup> Guideline  
413 compliance referred only to the choice of drug for therapeutic or prophylactic use. Overall mean  
414 compliance to guidelines reached 77% and was less than 70% in Latin America, Western and Central Asia  
415 and Africa. Next to developing and updating local treatment guidelines, adherence to guidelines may  
416 improve clinical outcome in terms of mortality, as well as treatment duration and length of hospital  
417 stay.<sup>32</sup> A recent systematic review and meta-analysis showed that guideline-adherent empirical therapy  
418 was associated with a significant relative risk reduction for mortality of 35%.<sup>6</sup> The reason for this  
419 relatively lower level of compliance is uncertain and probably multi-factorial. It may reflect current local  
420 resistance patterns, uncertainty avoidance and fear of failure. Our data provide hospitals/countries with  
421 information to pursue further detailed investigation at country and/or hospital level.

422 The fifth quality indicator concerned prolonged surgical prophylaxis commonly seen during this PPS and  
423 in line with previous studies conducted in Europe.<sup>21</sup> Especially in Southern and Eastern Europe, mean  
424 prolonged surgical prophylaxis was very high (85% and 86.3%). It is well-known that surgical antibiotic  
425 prophylaxis for most indications for >24 hours does not prevent development of post-operative  
426 infections. Instead, it increases the risk of antimicrobial resistance and side effects.<sup>33</sup> Evidence showed  
427 that, in the absence of preoperative infection or severe complications, prolonged or surgical  
428 postoperative antibiotic prophylaxis patients is unnecessary.<sup>34</sup>

429

430 Study strengths and limitations

431

432 The strength of our study lies in the uniformity of data-collection, the simplicity of the protocol and data  
433 collection templates, the assurance of data quality (data completeness and validation process through  
434 web-based tool), the opportunity for real-time educational feedback of results to participating centres  
435 comparing their results to national and regional results, and the consistency and reproducibility of data.<sup>9</sup>  
436 Although we had to rely on the participants professionalism and motivation to provide valid data, we  
437 implemented strict online checks to avoid erroneous or incomplete data. Minimal training was required  
438 and most hospitals successfully participated in the Global-PPS with the online supporting materials (e.g.  
439 FAQ), helpdesk support and the online e-learning course ([www.futurelearn.com/courses/point-  
440 prevalence-surveys](http://www.futurelearn.com/courses/point-prevalence-surveys)) developed by the British Society for Antimicrobial chemotherapy [BSAC]. Thanks to  
441 the simple protocol and tool for data entry and feedback, we successfully included hospitals from low-  
442 middle income countries (n=8) and upper middle income countries (n=17, see appendix I). The study,  
443 conducted on a voluntary basis and often carried out with limited resources (financial, IT and  
444 manpower), provided a good utility value for the time commitment (see “evaluation of the 2015 Global-  
445 PPS” at [www.global-pps.com/documents](http://www.global-pps.com/documents)). It enforces the creation of clinical prescriber buy in,  
446 particularly if the data accrued is fed back to the prescribers,<sup>35</sup> the development of a sustainable network  
447 and the construction of a huge database allowing the production of various analyses and publications at  
448 international, regional and local levels. This Global-PPS not only contributes to continued world-wide  
449 awareness about antibiotic use and resistance, but it also helps participants in setting targets to improve  
450 antibiotic prescribing (see examples at [www.global-pps.be/dissimination](http://www.global-pps.be/dissimination), including several  
451 communications from country networks as well as stand-alone hospitals), thereby driving improved  
452 prescribing behavior.<sup>10</sup>

453

454 The limitations of this study are inherent to the epidemiological method of a cross-sectional survey  
455 whereby the main purpose is to describe prescribing patterns in hospitals.<sup>13</sup> The overall rates provided

456 average figures without correcting for patient case mix, disease incidence or prevalence of different  
457 types of infections, variations in resistance levels, institutional factors, differences in climates and  
458 seasons etc., which can all influence antibiotic use patterns. For that reason, one need to be very  
459 cautious in interpreting and comparing the reported prevalence rates.

460 Although we observed substantial differences in the prevalence of antibiotic prescribing between and  
461 within regions or countries, we are not representative for most of these countries and regions. For  
462 instance, Northern Europe was mainly presented by the UK, while Western European data included most  
463 Belgian hospitals thanks to the coordination by the Belgian Antibiotic Policy Coordination Committee  
464 (BAPCOC) at federal level.<sup>36</sup> Western European results might therefore be biased due to typical Belgian  
465 prescribing practices (e.g. the high use of amoxicillin with beta-lactamase inhibitor). We hope that if in  
466 the future, countries could participate with a representative number of hospitals, which would allow  
467 more meaningful analysis at country and regional level.

468

469 Future considerations

470

471 The Global-PPS was repeated in 2017 with increased participation of additional countries and hospitals.  
472 We focused again on LMIC because it is the only tool available for measuring antibiotic prescribing in  
473 hospitals in these countries, often demonstrating the highest prevalence of antibiotic prescribing and  
474 resistance. We aim to carry out repeated PPS at hospital or ward level (yearly or quarterly) to measure  
475 the impact of antibiotic stewardship interventions. Governments can use the Global-PPS tool to support  
476 the antimicrobial stewardship framework as part of their WHO National Action Plan. Indeed, in some  
477 countries (Saudi Arabia, The Philippines, Belgium), the Global-PPS was endorsed by the Ministries of  
478 Health, inviting many hospitals to participate in the 2017 PPS. The Interagency Coordination Group  
479 (IACG) on AMR of the United Nations could use the Global-PPS tool for international mapping of  
480 antimicrobial prescribing and resistance in hospitals, and for building a sustainable hospital surveillance  
481 framework, focusing on LMIC. The Global-PPS could compliment the Global Antimicrobial Resistance

482 Surveillance System (GLASS) of the WHO.<sup>3</sup> Ultimately, we aim to develop appropriate benchmarking  
483 standards, including quantifiable quality targets; but recognizing the significant pitfalls for using these  
484 quantitative data for benchmarking<sup>37</sup>. Currently, we are developing an educational framework and  
485 training programme for healthcare professionals working on hospital antibiotic stewardship in LMIC

486

487 Conclusion

488

489 The Global-PPS provided a comprehensive assessment of the prevalence's of antibiotic use and  
490 resistance internationally, using a simple and user-friendly tool. As such, hospitals from LMIC's were for  
491 the first time able to measure antimicrobial use patterns. We found substantial differences among  
492 hospitals in the quantity as well as the quality of antibiotic prescribing worldwide. These results will help  
493 hospitals and countries to improve hospital antibiotic prescribing and to define antibiotic stewardship  
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587 PZ, VJ, DN, HG and AV had substantial contributions to the conception and the design of the work;

588 Data management and analysis was carried out by AV;

589 HG, PZ and AV contributed to the interpretation of data for the work;

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594 Mark Miller, Isabelle Caniaux and Marie-Françoise Gros are bioMérieux employees.

595 All other authors have none to declare.

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#### 602 **Ethics committee approval**

603 This study was a completely anonymized audit of current antimicrobial prescribing practices and  
604 resistance. No unique identifiers were entered onto the database. Every patient record was given a  
605 unique not identifiable survey number which was automatically generated by the Global-PPS computer  
606 program specifically designed for anonymous data-entry. Formal ethical approval for this study  
607 depended on the country and was taken care of by each participating hospital if required.  
608



**Table 1. N adult patients on antimicrobial use (%) by region and ward type, year 2015.**

UN-region	N countries	N hospitals	Medical Ward		Surgical Ward		Intensive Care Unit		Haemato-onco ward		Pneumology ward		Transplant (BMT/solid)		Total adult wards	
			N admitted patients	Prevalence of antimicrobial use (%)	N admitted patients	Prevalence of antimicrobial use (%)	N admitted patients	Prevalence of antimicrobial use (%)	N admitted patients	Prevalence of antimicrobial use (%)	N admitted patients	Prevalence of antimicrobial use (%)	N admitted patients	Prevalence of antimicrobial use (%)	N admitted patients	Prevalence of antimicrobial use (% country range)
<b>Europe</b>	<b>25</b>	<b>215</b>	<b>29663</b>	<b>26.1</b>	<b>18078</b>	<b>33.3</b>	<b>2954</b>	<b>59.2</b>	<b>1947</b>	<b>40.6</b>	<b>1878</b>	<b>52.0</b>	<b>192</b>	<b>74.5</b>	<b>54712</b>	<b>31.9 (23.7-62.0)</b>
Eastern Europe	2	8	778	11.6	1381	33.2	107	67.3	11	9.1	105	30.5			2382	27.4 (23.7-27.8)
Northern Europe	5	36	4959	29.8	2371	37.7	370	55.9	242	49.6	101	53.5	51	60.8	8094	34.4 (29.0-37.8)
Southern Europe	13	53	6443	32.6	5475	40.0	1010	64.1	646	33.6	561	60.2	52	76.9	14187	39.0 (27.2-62.0)
Western Europe	5	118	17483	23.4	8851	28.0	1467	56.0	1048	43.1	1111	49.7	89	80.9	30049	28.1 (25.1-37.1)
<b>Africa</b>	<b>5</b>	<b>12</b>	<b>619</b>	<b>49.9</b>	<b>1101</b>	<b>49.0</b>	<b>64</b>	<b>64.1</b>							<b>1798</b>	<b>50.0 (27.8-74.7)</b>
<b>Asia</b>	<b>15</b>	<b>56</b>	<b>8517</b>	<b>35.0</b>	<b>6912</b>	<b>36.1</b>	<b>1098</b>	<b>59.1</b>	<b>1003</b>	<b>53.0</b>					<b>18088</b>	<b>38.6 (22.4-85.7)</b>
East & Southern Asia	6	29	6644	33.0	5663	34.2	702	65.5	847	54.0	409	46.2	146	86.3	14411	37.2 (29.6-78.5)
Western & Central Asia	9	27	1873	42.0	1249	44.7	396	47.7	156	48.1					3677	43.8 (22.4-85.7)
<b>Oceania</b>	<b>2</b>	<b>9</b>	<b>1781</b>	<b>29.8</b>	<b>604</b>	<b>52.5</b>	<b>76</b>	<b>69.7</b>	<b>46</b>	<b>54.3</b>					<b>2516</b>	<b>37.0 (33.3-38.5)</b>
<b>Americas</b>	<b>6</b>	<b>43</b>	<b>5547</b>	<b>32.2</b>	<b>2707</b>	<b>40.2</b>	<b>992</b>	<b>57.4</b>	<b>294</b>	<b>46.9</b>			<b>80</b>	<b>66.3</b>	<b>9662</b>	<b>37.8 (30.9-44.8)</b>
Latin America	4	19	1942	31.8	1571	37.3	468	55.1	92	28.3			41	65.9	4122	36.8 (32.5-43.4)
Northern America	2	24	3605	32.4	1136	44.2	524	59.4	202	55.4	34	58.8	39	66.7	5540	38.6 (30.9-44.8)
<b>Total</b>	<b>53</b>	<b>335</b>	<b>46127</b>	<b>29.0</b>	<b>29402</b>	<b>35.6</b>	<b>5184</b>	<b>59.0</b>	<b>3298</b>	<b>45.1</b>	<b>2344</b>	<b>51.3</b>	<b>421</b>	<b>77.0</b>	<b>86776</b>	<b>34.4 (22.4-85.7)</b>

Prevalence rates are calculated for and within each ward by region

Empty cells: No cases or too few cases which are counted in 'total adult wards' only

East & Southern Asia includes Southern, Eastern & South-eastern Asia

Eastern Europe % (n=708; 2C)	Northern Europe % (n=3536; 5C)	Southern Europe % (n=6837; 12C)	Western Europe % (n=9485; 5C)	Africa % (n=1213, 4C)	East & Southern Asia % (n=6781, 6C)	Western & Central Asia % (n=2084, 9C)	Oceania % (n=1226, 2C)	Latin America % (n=2170, 4C)	Northern America % (n=2752, 2C)										
Ceftriaxone	36.3	Amoxicillin/β-lact. inh.	14.4	Ceftriaxone	19.6	Amoxicillin/β-lact. inh.	25.6	Ceftriaxone	19.3	Amoxicillin/β-lact. inh.	7.9	Ceftriaxone	20.2	Cefazolin	10.8	Ceftriaxone	14.4	Levofloxacin	12.8
Ciprofloxacin	9.6	Piperacillin/β-lact. inh.	14.2	Ciprofloxacin	10.3	Piperacillin/β-lact. inh.	8.4	Metronidazole	17.4	Levofloxacin	7.4	Metronidazole	8.3	Ceftriaxone	8.3	Metronidazole	9.5	Piperacillin/β-lact. inh.	11.8
Amoxicillin/β-lact. inh.	9.0	Amoxicillin	8.1	Cefazolin	8.2	Cefazolin	7.1	Ciprofloxacin	10.3	Ceftriaxone	7.3	Ciprofloxacin	7.0	Amoxicillin/β-lact. inh.	8.1	Vancomycin	9.5	Ceftriaxone	11.2
Cefotaxime	8.8	Metronidazole	6.0	Metronidazole	6.5	Ciprofloxacin	7.0	Cefuroxime	9.9	Piperacillin/β-lact. inh.	7.1	Cefuroxime	6.4	Piperacillin/β-lact. inh.	7.8	Cefazolin	6.1	Vancomycin	10.6
Cefazolin	6.6	Doxycycline	5.3	Amoxicillin/β-lact. inh.	6.0	Ceftriaxone	5.0	Amoxicillin/β-lact. inh.	9.6	Cefazolin	6.8	Meropenem	5.5	Metronidazole	7.4	Ciprofloxacin	5.9	Cefazolin	8.0
Cefuroxime	4.8	Ciprofloxacin	5.2	Piperacillin/β-lact. inh.	5.8	Cefuroxime	4.4	Amoxicillin	5.4	Sulfa/trimethoprim	6.1	Piperacillin/β-lact. inh.	5.5	Sulfa/trimethoprim	5.9	Sulfa/trimethoprim	5.4	Ciprofloxacin	6.1
Cefoperazone, comb.	3.4	Flucloxacillin	4.7	Gentamicin	4.6	Sulfa/trimethoprim	3.6	Clindamycin	4.3	Meropenem	5.7	Vancomycin	4.1	Flucloxacillin	5.1	Piperacillin/β-lact. inh.	5.3	Metronidazole	5.5
Metronidazole	2.5	Clarithromycin	4.6	Levofloxacin	3.6	Meropenem	3.5	Levofloxacin	3.1	Cefuroxime	5.4	Cefazolin	4.1	Amoxicillin	4.6	Meropenem	4.8	Meropenem	5.2
Gentamicin	2.0	Gentamicin	4.2	Vancomycin	3.1	Moxifloxacin	3.2	Sulfa/trimethoprim	2.6	Metronidazole	4.2	Amoxicillin/β-lact. inh.	3.3	Doxycycline	4.6	Cefotaxime	4.2	Azithromycin	3.3
Levofloxacin	2.0	Meropenem	4.1	Cefuroxime	2.9	Levofloxacin	3.0	Azithromycin	2.2	Vancomycin	4.2	Azithromycin	3.0	Cefuroxime	4.3	Cefalotin	2.8	Cefepime	3.3
Meropenem	2.0	Teicoplanin	3.5	Meropenem	2.7	Amoxicillin	2.9	Ertapenem	1.6	Ciprofloxacin	3.8	Amoxicillin	3.0	Vancomycin	3.6	Imipenem & enz. inh.	2.8	Sulfa/trimethoprim	2.9
Amikacin	1.7	Cefuroxime	3.4	Amoxicillin	2.5	Vancomycin	2.9	Meropenem	1.3	Ampicillin/β-lact. inh.	3.3	Imipenem & enz. inh.	3.0	Ciprofloxacin	3.3	Clindamycin	2.7	Doxycycline	2.1
Cefepime	1.6	Trimethoprim	2.6	Clindamycin	2.2	Metronidazole	2.6	Ampicillin	1.2	Clindamycin	2.0	Cefotaxime	2.4	Cefalexin	3.2	Amikacin	2.4	Clindamycin	1.7
		Ceftriaxone	2.6	Amikacin	2.2	Clindamycin	2.5	Gentamicin	1.0	Cefmetazole	1.9	Gentamicin	2.1	Azithromycin	2.7	Gentamicin	2.3	Cefalexin	1.5
		Sulfa/trimethoprim	2.0	Sulfa/trimethoprim	2.0	Flucloxacillin	1.9	Amikacin	0.9	Cefepime	1.7	Cefepime	2.0	Meropenem	2.6	Clarithromycin	2.1	Amoxicillin/β-lact. inh.	1.5
		Benzylpenicillin	1.8	Ampicillin	1.7	Ceftazidime	1.5			Cefoperazone, comb.	1.5	Colistin	1.7	Benzylpenicillin	1.9	Colistin	1.9	Linezolid	1.5
		Vancomycin	1.5	Imipenem & enz. inh.	1.6	Clarithromycin	1.4			Clarithromycin	1.4	Ampicillin	1.5	Roxithromycin	1.9	Ampicillin	1.8	Amoxicillin	1.4
		Clindamycin	1.2	Ceftazidime	1.3	Temocillin	1.3			Cefcapene	1.4	Sulfa/trimethoprim	1.4	Gentamicin	1.5	Cloxacillin	1.8		
		Cefalexin	1.0	Azithromycin	1.1	Azithromycin	1.3			Teicoplanin	1.3	Ampicillin, comb.	1.4	Cefaclor	1.5	Ceftazidime	1.6		
				Cefepime	1.0	Cefepime	1.1			Azithromycin	1.2	Moxifloxacin	1.4	Clindamycin	1.4	Ertapenem	1.4		
				Ertapenem	0.8	Nitrofurantoin	1.1			Ampicillin	1.1	Amikacin	1.3			Levofloxacin	1.2		
				Clarithromycin	0.8					Ceftazidime	1.0	Ceftazidime	1.0			Azithromycin	1.0		
										Amoxicillin	0.9	Ceftizoxime	0.9						
										Imipenem & enz. inh.	0.9								
										Gentamicin	0.9								
										Cefotiam	0.8								
										Amikacin	0.7								
										Cefditoren	0.6								
										Cloxacillin	0.6								
										Doxycycline	0.6								
										Minocycline	0.6								

Table 2. Most Prescribed Antibiotics (ATC J01; 5<sup>th</sup> level) to adult inpatients by UN Region, ranked at overall drug utilization 90% (DU90%), year 2015.

Grey lines provides drug utilization up to 75% (DU75%) by UN Region; C=countries

East & Southern Asia includes Southern, Eastern & South-eastern Asia

Diagnosis code	Eastern Europe % (n=646 patients)	Northern Europe % (n=2791 patients)	Southern Europe % (n=5452 patients)	Western Europe % (n=8414 patients)	Africa % (n=870 patients)	East & Southern Asia % (n=5402 patients)	Western & Central Asia % (n=1626 patients)	Oceania % (n=967 patients)	Latin America % (n=1554 patients)	Northern America % (n=2139 patients)	Total % (n=29861 patients)
LRTI	15.2	28.2	14.3	23.3	10.3	16.2	14.8	19.0	16.5	21.1	19.2
Skin & Soft Tissue	13.5	9.1	6.7	8.0	16.2	8.2	7.9	15.6	12.5	11.6	9.0
Intra-abdominal	1.2	8.3	5.6	7.1	3.8	7.8	5.2	9.1	10.2	7.4	7.0
Lower UTI	0.5	6.7	4.3	8.1	2.4	3.5	4.6	8.5	5.5	11.2	6.0
Upper UTI	4.6	5.9	4.3	4.9	1.4	4.5	5.3	3.6	6.0	4.3	4.7
Proph Bone Joint	7.6	2.4	6.3	4.7	6.6	4.4	3.1	6.1	4.1	3.5	4.7
URTI (Bronchitis)	5.6	3.5	4.5	7.3	0.7	1.1	5.2	3.0	1.8	2.9	4.2
Proph Gastro-intestinal	6.3	1.8	8.1	2.4	2.8	4.3	8.2	1.7	5.3	1.7	4.2
Med Proph in general	2.8	2.3	5.0	2.7	2.9	5.9	1.2	5.0	3.9	3.5	3.8
Unknown	0.8	3.5	2.7	2.9	11.4	3.0	2.0	1.9	1.7	4.0	3.1
Proph Obstetrics/Gyn.	3.9	2.5	4.4	0.9	9.8	4.4	3.8	1.3	2.9	2.6	3.0
Bone Joint infection	3.4	2.2	1.6	3.5	3.0	2.4	3.3	3.7	2.6	3.4	2.8
Sepsis	0.2	3.9	2.1	2.3	3.8	2.8	4.5	0.7	2.6	3.8	2.7
Proph UTI	5.3	2.0	3.4	2.8	1.7	1.5	4.5	2.6	2.8	1.3	2.6
Gastro-intestinal	4.8	1.5	2.3	2.3	2.4	2.3	4.4	1.4	1.7	2.9	2.4

**Table 3. Top 10 most recorded reasons to treat adult inpatients with at least one antibacterial for systemic use (ATC J01), year 2015.**

**Patients recorded with more than one diagnoses will be counted according to the number of diagnosis,**

**Patients not treated by antibacterials for systemic use (J01), but treated with other antimicrobials (eg antimalarials) are not included in this table.**

#### **East & Southern Asia includes Southern, Eastern & South-eastern Asia**

LRTI= Pneumonia or LRTI (lower respiratory tract infections); Skin & Soft Tissue: Cellulitis, wound including surgical site infection, deep soft tissue not involving bone e.g., infected pressure or diabetic ulcer, abscess; Intra-abdominal= Intra-abdominal sepsis including hepatobiliary, intra-abdominal abscess *etc.*; Lower UTI= Lower urinary tract infection, cystitis; Upper UTI=upper urinary tract infection including catheter related urinary tract infection, pyelonephritis; Proph Bone Joint=Prophylaxis for SST, for plastic or orthopaedic surgery (Bone or Joint); URTI (bronchitis)= Acute Bronchitis or exacerbations of chronic bronchitis; Proph Gastro-intestinal= Surgery of the Gastro-Intestinal tract, liver or biliary tree, GI prophylaxis in neutropaenic patients or hepatic failure , Med Proph in general=Drug is used as Medical Prophylaxis in general, without targeting a specific site; Unknown=Completely Unknown Indication; Proph Obstetrics/Gyn.= Prophylaxis for Obstetric or Gynaecological surgery; Bone joint Infection: Septic arthritis (including prosthetic joint), osteomyelitis, Sepsis= sepsis, sepsis syndrome or septic shock with no clear anatomic site, Proph UTI= Prophylaxis for urological surgery (SP) or recurrent Urinary Tract Infection (MP); Gastro-intestinal = Gastro intestinal infections (salmonellosis, *Campylobacter*, parasitic, *C.difficile*, etc.).

	Total N antibiotics (J01)	Therapeutic use				Prophylactic use	
		Therapeutic use				Antibiotics for Medical Prophylaxis (%)	Antibiotics for Surgical Prophylaxis (%)
		Antibiotics for a CAI %	Targeted prescribing for a CAI %	Antibiotics for a HAI %	Targeted prescribing for a HAI %		
Eastern Europe	708	46.5	12.2	11.6	34.1	23.7	17.5
Northern Europe	3536	56.3	14.1	25.1	20.4	5.8	9.5
Southern Europe	6837	36.7	16.6	20.6	41.3	8.2	29.2
Western Europe	9485	51.0	27.1	28.3	43.4	5.9	12.0
Africa	1213	57.4	19.5	9.5	33.9	3.5	23.2
East & Southern Asia	6781	36.9	22.2	27.7	31.7	9.8	21.3
Western & Central Asia	2084	44.8	13.4	20.9	36.8	7.7	23.2
Oceania	1226	53.3	23.1	23.6	38.4	7.6	12.6
Latin America	2170	41.4	19.1	34.9	44.1	5.6	16.0
Northern America	2752	52.2	22.8	26.1	31.2	5.1	8.6
<b>Total</b>	<b>36792</b>	<b>45.6</b>	<b>20.9</b>	<b>25.2</b>	<b>36.9</b>	<b>7.4</b>	<b>17.8</b>

**Table 4. Antibiotic use (ATC J01) by indication and type of treatment (targeted versus empiric) for adult inpatients by region, year 2015.**

**CAI= Community Acquired Infection; HAI=Hospital Acquired Infection.**

**Overall, 486 antibiotics were recorded with 'another' indication; 1009 antibiotics with unknown indication; these are not listed in the table.**

**East & Southern Asia includes Southern, Eastern & South-eastern Asia**

REGION	Denominators					Antimicrobial/Antibiotic quality indicators							
	N treated patients	N antimicrobial prescriptions	N antibiotic (J01) prescriptions	N patients with targeted treatment	N patients with targeted treatment against resistant organisms	Targeted treatment <sup>§</sup> % patients	% patients treated with ABs targeting resistant organisms	Reason in notes* %	Stop/review date documented* %	Parenteral RoA <sup>°</sup> % patients	% antibiotic prescriptions for which guidelines were available <sup>°°</sup>	% antibiotic prescriptions compliant to local guidelines <sup>+</sup>	% of antibiotic prescriptions for which no guidelines were available <sup>++</sup>
Eastern Europe	653	747	708	51	42	7.8	6.4	64.3	50.5	87.6	79.8	85.7	19.2
Northern Europe	2783	3880	3536	396	80	14.2	2.9	81.4	51.6	62.2	90.0	83.4	6.5
Southern Europe	5534	7674	6837	838	292	15.1	5.3	69.5	29.1	80.0	60.5	70.8	29.6
Western Europe	8458	10612	9485	2204	469	26.1	5.5	80.5	40.3	64.0	81.0	78.7	10.1
Africa	899	1502	1213	131	25	14.6	2.8	70.4	36.6	62.7	49.5	67.9	26.7
East & Southern Asia	5363	7607	6781	938	287	17.5	5.4	74.6	43.5	71.8	76.4	81.5	21.4
Western & Central Asia	1612	2252	2084	236	153	14.6	9.5	72.8	19.8	85.2	53.4	66.3	40.5
Oceania	932	1411	1226	218	63	23.4	6.8	85.1	27.0	60.5	87.4	73.2	11.7
Latin America	1518	2403	2170	403	231	26.5	15.2	81.4	40.3	84.4	76.5	64.1	19.9
Northern America	2139	3125	2752	511	127	23.9	5.9	84.9	39.6	73.1	77.3	85.8	18.5
<b>Grand Total</b>	<b>29891</b>	<b>41213</b>	<b>36792</b>	<b>5926</b>	<b>1769</b>	<b>19.8</b>	<b>5.9</b>	<b>76.9</b>	<b>38.3</b>	<b>71.4</b>	<b>74.3</b>	<b>77.4</b>	<b>19.2</b>

**Table 5. Overview of antimicrobial/antibiotic quality indicators for adult inpatients by region, year 2015.**

§ % patients receiving at least one antibiotic for systemic use (ATC J01), selection has been made for therapeutic antibiotic use only (HAI and CAI). Calculation= N patients with targeted treatment/N treated patients.

\* Including all antimicrobials. Denominator=N antimicrobial prescriptions

° % patients receiving at least one parenteral antibiotic for systemic use (ATC J01). Denominator=N treated patients

°° % prescriptions for which guidelines were available refers to the antibiotic choice (not route, dose, duration) and is calculated as compliance to guidelines Yes and No/compliance with local guidelines Yes and No + those for which no local guidelines for the specific indication were available (not applicable) + those not indicated (NI) where information is lacking because the indication is unknown

+ Denominator used is “all antibiotic (J01) prescriptions for which guidelines were available”

++ Denominator used are all antibiotic (J01) prescriptions

ABs=antibiotics for systemic use (ATC J01)

East & Southern Asia includes Southern, Eastern & South-eastern Asia

	<b>N patients received a targeted treatment*</b>	N patients with targeted treatment against resistant organisms	% pat MRSA	% pat MRCoNS	% pat VRE	% pat ESBL	% pat 3rd gen cep	% pat CRE	% pat ESBL non fermenter	% pat CR non fermenter	% pat other MDRO
Eastern Europe	53	42	7.5	1.9		37.7	5.7		15.1	20.8	3.8
Northern Europe	435	80	5.3	0.7	1.6	6.0	0.9	0.2	0.2	1.8	2.3
Southern Europe	1021	292	5.0	2.2	2.9	8.4	1.5	2.1	2.8	3.6	4.9
Western Europe	2472	469	3.4	1.8	0.2	7.1	3.0	0.4	0.5	0.6	2.8
Africa	170	25	1.2	0.6		5.3	0.6		1.8	2.4	2.9
East & Southern Asia	1070	287	6.2	2.8	0.9	6.5	3.6	2.1	1.7	3.6	2.4
Western Central Asia	266	153	9.8	1.1	0.8	13.9	3.8	3.0	6.8	7.5	15.0
Oceania	227	63	4.8	1.8	1.8	6.6	2.6	0.4	11.5	1.8	0.9
Latin America	450	231	10.4	4.9	1.3	19.1	4.4	4.0	2.4	1.1	4.4
Northern America	586	127	7.8	2.0	1.4	4.3	2.9		1.4	5.1	3.1
<b>Total</b>	<b>6750</b>	<b>1769</b>	<b>5.3</b>	<b>2.1</b>	<b>1.1</b>	<b>8.1</b>	<b>2.8</b>	<b>1.2</b>	<b>2.0</b>	<b>2.6</b>	<b>3.6</b>

**Table 6. Prevalence rate of adult inpatients (%) receiving a targeted antibiotic (ATC J01) treatment by region and resistance profile of the organism, year 2015.**

\* denominator = number of patients receiving a targeted treatment

Targeted treatment = based upon microbiological result. Microbiology result can be any culture and/or sensitivity result from a relevant clinical (e.g., blood, sputum, etc.,) [but not screening] specimen as well as any other microbiology result like for example Legionella Urinary Antigen.

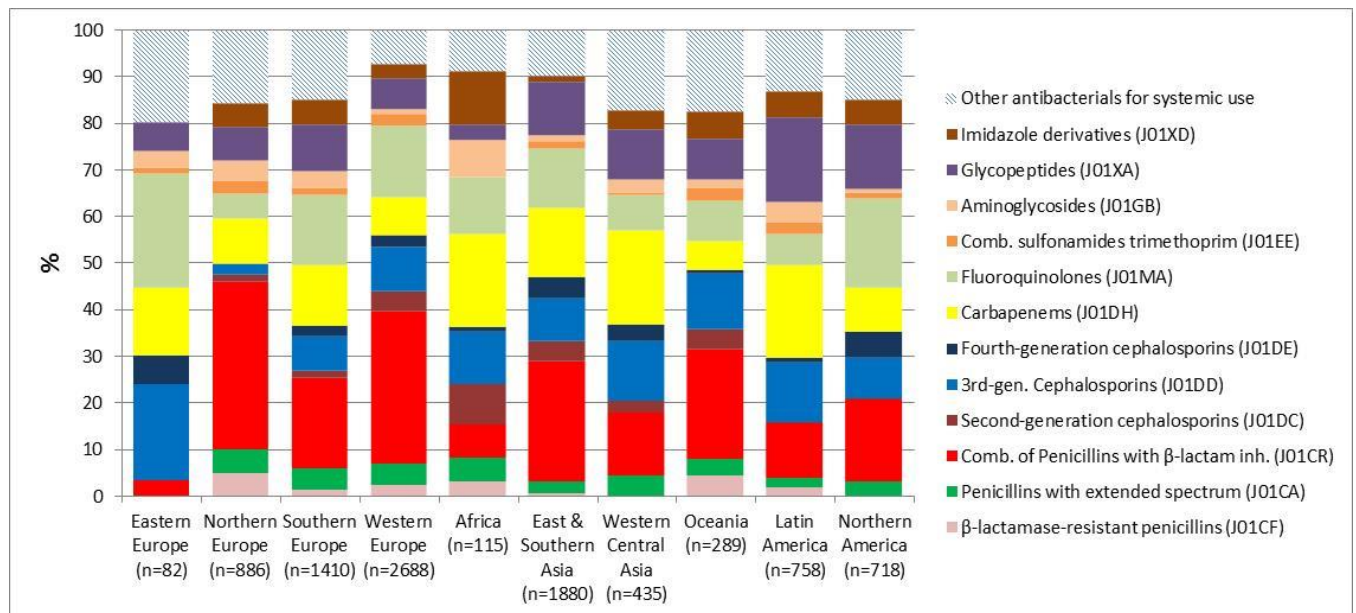
If the treatment was based on microbiology data, participants could report whether the treatment choice was based on one of the following 9 micro-organisms:

MRSA=meticillin-resistant *Staphylococcus aureus*, MRCoNS=meticillin-resistant coagulase-negative staphylococci, VRE=vancomycin-resistant enterococci, ESBL=Enterobacteriaceae producing extended-spectrum beta-lactamase, 3<sup>rd</sup> gen cep=3<sup>rd</sup> generation cephalosporin resistant Enterobacteriaceae non-ESBL producing or ESBL status unknown, CRE=carbapenem-resistant Enterobacteriaceae, ESBL non-fermenter= ESBL-producing non-fermenter Gram-negative bacilli, CR non-fermenter=carbapenem-resistant non-fermenter Gram-negative bacilli, Other MDRO=other multi-drug resistant organisms

Note: a patient can be counted twice depending on the number of targeted antibiotics administered for more than one resistant micro-organism.

East & Southern Asia includes Southern, Eastern & South-eastern Asia

**Figure 1. Proportion of prescribed antibiotics for systemic use (ATC4 level, N=9,261) for a HAI among adult inpatients by region, year 2015**

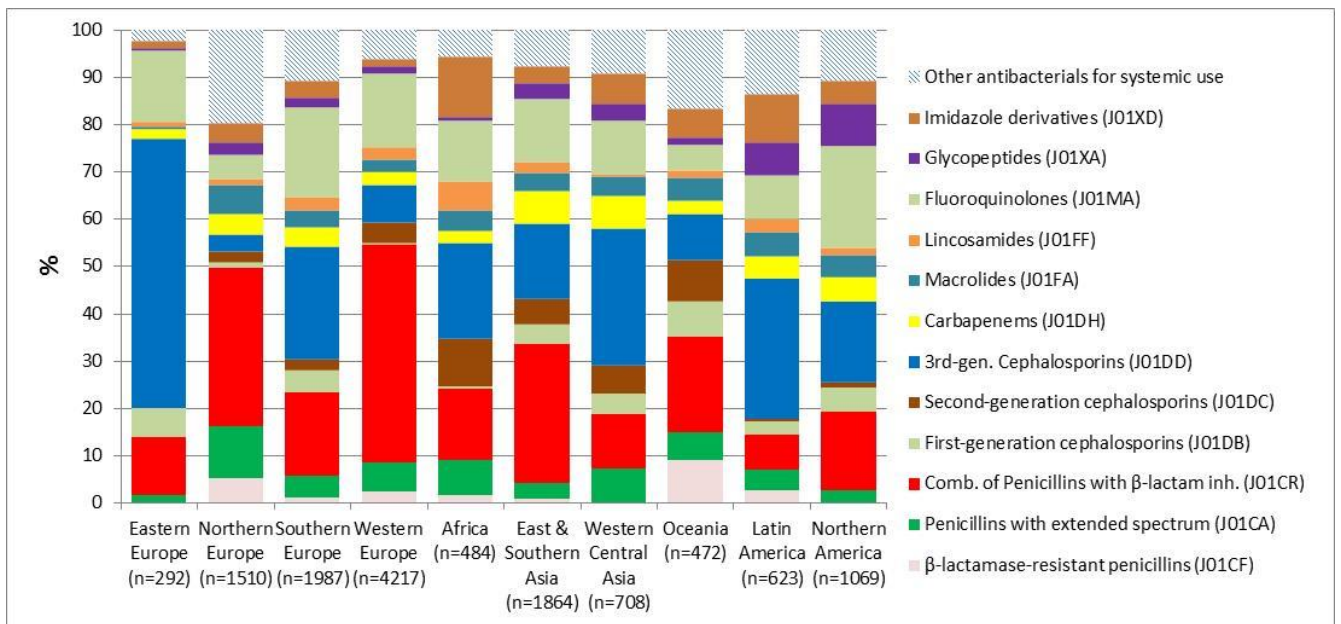


Not colored striped part of stacked bar represents other antibacterial subgroups

N=number of reported antibiotics for systemic use at regional level

East & Southern Asia includes Southern, Eastern & South-eastern Asia

**Figure 2. Proportion of prescribed antibiotics for systemic use (ATC4 level, N=13,226) for a CAI among adult inpatients by region, year 2015**





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