

# Neurolinguistics for Continuous Direct-Speech Brain-Computer Interfaces

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## Abstract

A direct-speech brain-computer interface (DS-BCI) acquires neural signals corresponding to imagined speech, then processes and decodes these signals to produce a linguistic output in the form of phonemes, words or sentences. Recent research has shown the potential of neurolinguistics to enhance decoding approaches to imagined speech with the inclusion of semantics and phonology in experimental procedures. As neurolinguistics research findings are beginning to be incorporated within the scope of DS-BCI research, it is our view that a thorough understanding of imagined speech, and its relationship with overt speech, must be considered an integral feature of research in this field. With a focus on imagined speech, we provide a review of the most important neurolinguistics research informing the field of DS-BCI, and suggest how this research may be utilised to improve current experimental protocols and decoding techniques. Our review of the literature supports a cross-disciplinary approach to DS-BCI research, in which neurolinguistics concepts and methods are utilised to aid development of a naturalistic mode of communication.

## 1 Seeking a naturalistic form of communication through Direct-speech BCI

A direct-speech brain-computer interface (DS-BCI) is one that captures and decodes neural signals corresponding directly to speech production, enabling a naturalistic mode of communication (Iljina et al., 2017). Such a system has the potential to transform the lives of patients with severe motor dysfunction, including pathologies such as amyotrophic lateral sclerosis resulting in locked-in syndrome. Loss of verbal communication has a profound effect

30 on those inflicted, with loss of social interaction and the potential for isolation. In parallel with  
 31 this personal degeneration, a caregiver faces a more difficult challenge in ascertaining the needs  
 32 of the patient. These factors have played a crucial role in driving the development of DS-BCIs  
 33 (Brumberg et al., 2011; Oken et al., 2014).

34 It is our view that development of a functional DS-BCI must be predicated on imagined speech  
 35 (see section 3 for a detailed description) as the communicative modality. However, several  
 36 other types of speech have been utilised in experiments referenced throughout this text, making  
 37 it important to define their meanings. Table 1 is a categorisation of the different types of speech  
 38 typically used in DS-BCI experimentation. Three types of speech are presented, namely overt  
 39 (Blakely et al., 2008), intended (Guenther et al., 2009) and imagined (D’Zmura et al., 2009),  
 40 and these are subcategorised according to whether the speech is being produced or perceived  
 41 by a subject. Overt speech production results in an audible output that can be heard by the  
 42 person speaking, and by others within range of the sounds produced. Intended speech is the  
 43 name given to describe when a person tries to speak but does not have the capacity to produce  
 44 an audible output. Imagined speech is the internal pronunciation of words without any audible  
 45 output or associated movement. These are types of speech production and possible methods of  
 46 communication with DS-BCI. However, several studies have used decoding approaches  
 47 applied to the neural correlates of speech perception as evidence for the potential of decoding  
 48 speech processes for communication (Di Liberto et al., 2015; Wang et al., 2018). We consider  
 49 it to be extremely important to distinguish speech perception studies from speech production  
 50 studies, and to be aware that the ‘speech’ in these studies refers to different phenomena. In  
 51 perception studies, the speech being considered is the stimulus provided by the experimenter.  
 52 The corresponding response of the subject, typically in the auditory cortex, is the neural activity  
 53 being decoded. This differs greatly from the study of speech production in which the subject is  
 54 actively producing phones, words or sentences, whether prompted or unprompted, with neural  
 55 correlates typically corresponding to brain regions associated with speech production.  
 56 Although speech perception studies are important for DS-BCI research, this review, is  
 57 primarily concerned with speech production, and in particular, imagined speech production.

58 **Table 1 Categorisation of types of speech typically used in DS-BCI experiments.**

	<b>Production</b>	<b>Perception</b>
Overt	Fully-articulated speech with audible output.	Active or passive hearing of audible speech (one’s own

		speech or from another source).
Intended	Intention to produce overt speech but without the capacity to produce audible output.	Perception of one's own intended speech production.
Imagined	Internal pronunciation of words, independent of movement and without any audible output.	Perception of one's own imagined speech production.

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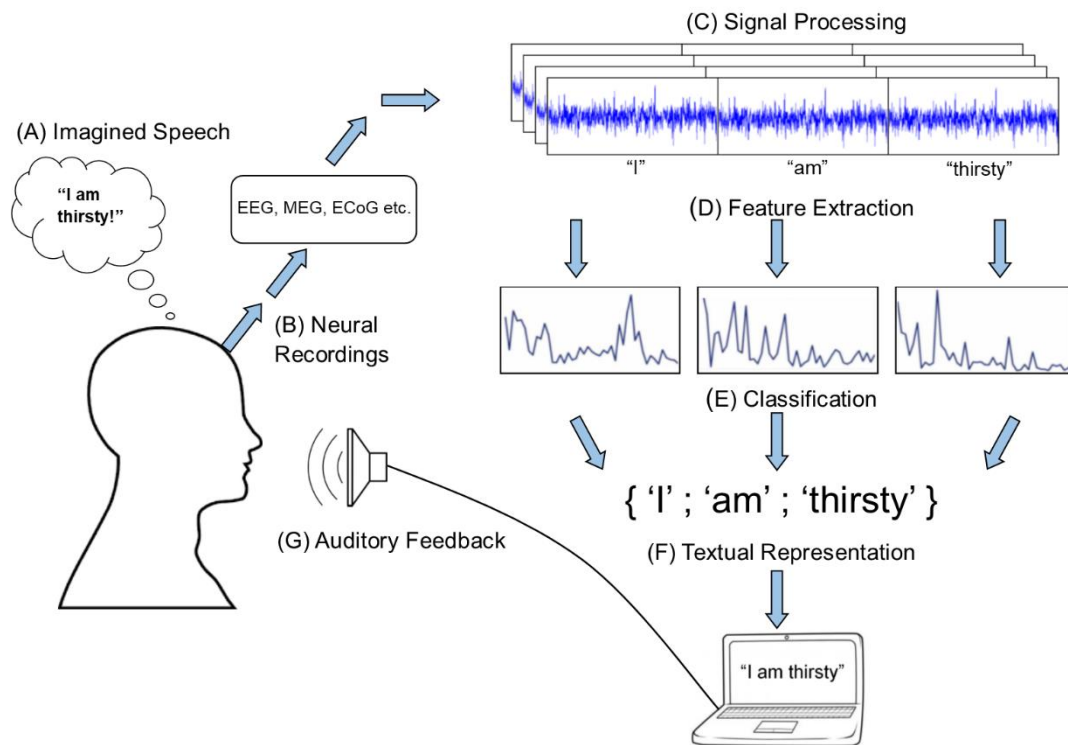
60 A DS-BCI consists of several important stages (see Figure 1). The stages depicted in Figure  
61 1B-G have each been extensively covered in the literature (Blakely et al. 2008, Guenther et al.  
62 2009, reviewed in Bocquelet et al. 2017). However, there is relatively little consideration of  
63 the difficulty in modelling the first of these stages (Figure 1A), namely imagined speech  
64 production, during which a participant articulates words internally without any motor  
65 movement. Neurolinguistics research is providing insight into the cognitive function,  
66 phenomenology and neurobiology of speech production in general (Hickok, 2014), and  
67 imagined speech in particular (Alderson-Day and Fernyhough, 2015; Perrone-Bertolotti et al.,  
68 2014) and it is our view that these insights should be utilised within DS-BCI research. We  
69 concur with the arguments expressed by Iljina et al. (Iljina et al., 2017) that, given the  
70 complexity of speech production processes, combining research from the fields of BCI and  
71 neurolinguistics must be seen as an important approach for those seeking to capture and decode  
72 the phenomena.

73 Imagined speech is the internal pronunciation of words without any motor movement or  
74 acoustic output (Torres-García et al., 2016) (see Section 3). Related, and overlapping,  
75 terminology for imagined speech includes self-talk, sub-vocal/covert speech, internal  
76 dialogue/monologue, sub-vocalisation, utterance, self-verbalisation and self-statement (Morin  
77 and Michaud, 2007). However, for the purposes of performing controlled experiments in the  
78 field of DS-BCI, it is necessary to maintain a consistent terminology and description of the  
79 phenomena (see Section 3). Although not identical, there is overlap between imagined and  
80 overt speech production, and imagined speech has become an alternative neuro-paradigm for  
81 communicative BCI (D'Zmura et al., 2009; DaSalla et al., 2009; Deng et al., 2010). Such a  
82 system differs from other types of communicative BCIs (Chaudhary et al., 2017; Pandarinath

83 et al., 2017), in that it relies on tapping directly into a person's speech production processes,  
84 rather than using some unrelated neural activity as the method of communication.

85 Several DS-BCI studies have used neurolinguistics approaches within their experimental  
86 procedures (González-Castañeda et al., 2017; Kim et al., 2013; Wang et al., 2011; Zhao and  
87 Rudzicz, 2015). In general, the approaches used have been to design a constrained dictionary  
88 of words categorised according to their relative semantic or phonological relationships. The  
89 basic principle underpinning this approach is that the categorical features of a word may aid  
90 decoding accuracy in imagined speech. There is some evidence that this is a valid approach to  
91 take, particularly in relation to semantic categorisation, which has received greater attention in  
92 the literature. Studies examining the feasibility of decoding semantic information from neural  
93 signals have shown that semantic category can be predicted from brain activity (Kim et al.,  
94 2013; Wang et al., 2011). However, further research is required to determine the true potential  
95 of neurolinguistics research in relation to the neurobiology of imagined speech and the  
96 structured processes underlying speech production, to inform DS-BCI research.

97 Here, we review trends in DS-BCI research, and the current understanding of speech  
98 production processes, with an emphasis on imagined speech. We consider the potential  
99 implications of attempting to harness neurolinguistics concepts and the limitations of working  
100 directly with imagined speech. An argument is presented, that effective research in the field of  
101 DS-BCI should incorporate neurolinguistics research and a thorough understanding of  
102 imagined speech where possible to aid the development of a naturalistic mode of  
103 communication.



104

105 **Figure 1 Seeking a Naturalistic form of communication through direct-speech BCI.**

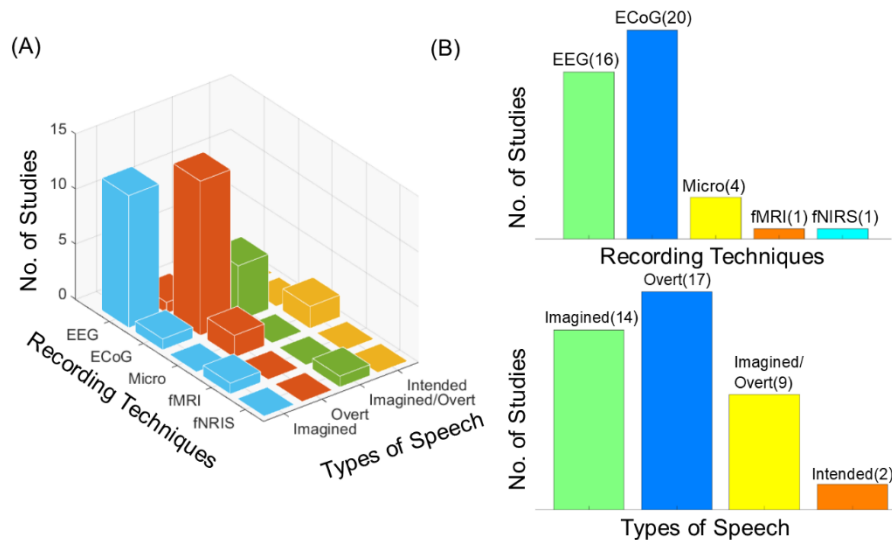
106 **2 Trends in Direct-Speech BCI**

107 The development of a ‘silent’ interface has long been an active area of research to enable users  
 108 to communicate without audible articulation of their speech. Several modalities have been  
 109 developed to facilitate such communication through movement-independent BCI, including  
 110 BCI-spellers (e.g. D’albis et al. 2012), BCIs based on steady-state visually evoked potential  
 111 (SSVEP) (e.g. Bin *et al.*, 2009) and BCIs based on motor imagery (e.g. Tabar and Halici,  
 112 2017a), (see AlSaleh et al., 2016; Tabar and Halici, 2017b see for reviews). There are  
 113 numerous forms which these silent interfaces have taken to provide a more naturalistic,  
 114 language-based mode of communication, including ultrasound imaging of lip profiles (Denby  
 115 et al., 2006) and word recognition using magnetic implants and sensors (Gilbert et al., 2010).  
 116 However, approaches such as these require active motor skills that can be readily utilised as  
 117 the communicative modality and are therefore not movement-independent BCIs. The utility of  
 118 BCI as a mode for language-based communication has been noted by researchers for many  
 119 years (Denby et al., 2006; Donchin et al., 2000), with the concept for a DS-BCI being a  
 120 movement-independent BCI based on neural activity corresponding directly to imagined  
 121 speech production processes. However, the possibility of developing a BCI predicated purely

122 on imagined speech has only recently begun to gather momentum (Ikeda et al. 2014, Yoshimura  
123 et al. 2016, Nguyen, Karavas and Artemiadis 2017) as researchers have revealed promising  
124 results in attempts to classify units of imagined speech (González-Castañeda et al., 2017;  
125 Martin et al., 2014; Pei et al., 2011a; Yoshimura et al., 2016; Zhao and Rudzicz, 2015). There  
126 have been several incarnations of DS-BCIs, including a wireless BCI for real-time speech  
127 synthesis (Guenther et al., 2009) and a concept for continuous speech recognition (Herff et al.,  
128 2017). The current stream of DS-BCI research indicates a trend towards improved  
129 classification of imagined speech units for decoded brain activity (González-Castañeda et al.,  
130 2017; Martin et al., 2014) and the development of methodologies for continuous decoding of  
131 imagined speech (Brumberg et al., 2016). There have also been recent developments in  
132 classification of the neural correlates of speech perception (Di Liberto et al., 2015; Wang et al.,  
133 2018), one of which demonstrates real-time classification of auditory sentences from neural  
134 activity (Moses et al., 2018). Although this research is vital for the implementation of a closed-  
135 loop DS-BCI, it is important that results from speech perception studies are assessed  
136 independently of speech production studies as the neural activity corresponding to each cannot  
137 be assumed to have similar properties.

138 There have been notable successes in attempts to improve the decoding of language content  
139 directly from neural activity. The neural correlates of vowels and consonants (Idrees and  
140 Farooq, 2016; Pei et al., 2011b; Yoshimura et al., 2016), phonemes (Brumberg et al., 2011;  
141 Leuthardt et al., 2011), syllables (Deng et al., 2010), whole words (González-Castañeda et al.,  
142 2017; Martin et al., 2016) and even sentences (Herff et al., 2015) have all been evaluated using  
143 advanced decoding algorithms. Decoding of discrete units of speech, single vowels for example,  
144 has been a popular experimental paradigm in DS-BCI to date (Ikeda et al., 2014). Sereshkeh  
145 et al. (Rezazadeh Sereshkeh et al., 2017a) presented evidence suggesting that it is possible to  
146 classify units of imagined speech from electroencephalogram (EEG), presenting  $63.2\% \pm 6.4$   
147 accuracy for pairwise classification tasks. Other studies have shown that decoding accuracies  
148 of vowels and consonants were similar for both overt and imagined speech (Pei et al., 2011a).  
149 Elsewhere, linguistic content has been harnessed to aid discrimination of both overt and  
150 imagined speech, with phonology (Zhao and Rudzicz, 2015), semantics (Kim et al., 2013) and  
151 syntax (Herff et al., 2015) each showing some potential to aid classification in DS-BCI. Figure  
152 2, and the corresponding data in Table 2 categorise DS-BCI studies according to recording  
153 technique and the type of speech being investigated. The time-period for this analysis begins  
154 with the study of Blakely et al. (Blakely et al., 2008), due to this being the first study based on

155 the BCI paradigm depicted, and runs through to 2018. Criteria for inclusion in this analysis are  
156 those studies using typical recording techniques (EEG, electrocorticogram (ECoG), Micro-  
157 arrays, functional magnetic resonance imaging (fMRI) and functional near-infrared  
158 spectroscopy (fNIRS) to decode speech production (overt, imagined, intended), but not speech  
159 perception, directly from neural activity. Studies utilising speech imagery or imagined hearing  
160 have been excluded as we do not consider these modalities to be representative of the speech  
161 production required of a DS-BCI. The cross-sectional data (Figure 2A) indicates that studies  
162 have favoured two recording techniques and two types of speech. Clearly, EEG and ECoG are  
163 the most dominant recording techniques, having been cited in 16 and 20 studies respectively  
164 (Figure 2B). The likely reason being the high temporal resolution (milliseconds) they both  
165 possess, particularly in comparison to imaging techniques such as fMRI (with temporal  
166 resolution in the order of seconds). This high temporal resolution is required to capture the  
167 dynamic processes associated with speech production (Herff et al., 2016). As a non-invasive  
168 recording technique, EEG makes recruitment of experimental participants easier, but the  
169 greater spatial resolution of ECoG render it a better candidate for decoding imagined speech  
170 signals when participants are made available due to treatment for pre-existing medical  
171 conditions (e.g. epilepsy) (Martin et al., 2016). Although they have shown good performance  
172 in fields such as neuromotor prostheses (e.g. Hochberg et al. 2012), relatively few studies have  
173 utilised microelectrode arrays for recording the spiking activity of single or multiple units (SU  
174 or MU) i.e., neurons, during imagined speech. However, the SU or MU offer the required signal  
175 specificity to improve imagined speech decoding processes given its success in movement and  
176 movement intention decoding (Bouton et al., 2016).



177

178 **Figure 2 Direct-speech BCI studies categorised according to recording techniques and**  
 179 **types of speech.**

180 It is clear from the data presented in Figure 2 that overt speech production is heavily-utilised  
 181 in experimental trials. Overt speech is included in a total of 26 studies (17 solely overt and 9  
 182 alongside imagined speech) (Figure 2B). There are several reasons for this trend, including the  
 183 lack of behavioural verification associated with imagined speech, whereby it is difficult to  
 184 confirm whether experimental tasks have been performed correctly, and the lower amplitude  
 185 of EEG/ECoG signals it produces (Palmer et al., 2001; Shuster and Lemieux, 2005). Despite  
 186 lower amplitude signals, there is evidence to suggest that EEG can provide considerable  
 187 information on imagined speech that can be utilised for a DS-BCI (D’Zmura et al., 2009).  
 188 Attempts to decode continuous overt speech have been made (Herff et al., 2015), and it is  
 189 anticipated that further developments may enable adaptation of this approach for imagined  
 190 speech. As stated, the use of overt speech is prevalent in DS-BCI research. However, if a truly  
 191 naturalistic form of communication is to be achieved using imagined speech, then a thorough  
 192 understanding of the phenomena is required.



193 **Table 2 Overview of DS-BCI studies attempting to decode speech from neural activity.**

<b>Reference</b>	<b>Recording Technique</b>	<b>Type of Speech</b>	<b>Experimental Paradigm</b>
Blakely et al., 2008	Micro-electrode	Overt	Phoneme pronunciation.
D’Zmura et al., 2009	EEG	Imagined	Imagined speech of two syllables spoken in one of three rhythms.
Guenther et al., 2009	Micro-electrode	Intended	Vowel production involving movement from a central vowel location to one of three peripheral vowel locations.
Porbadnigk et al., 2009	EEG	Imagined	Five words, presented in block, sequential or random order.
Brigham and Kumar, 2010	EEG	Imagined	Imagined speech of two syllables, /ba/ and /ku/ at two rhythms.
Deng et al., 2010	EEG	Imagined	Imagined speech of two syllables spoken in one of three rhythms.
Kellis et al., 2010	Micro-electrode	Overt	Repetition of one of ten words.
Brumberg et al., 2011	Micro-electrode	Intended	Intended production of 38 American English phonemes.
Chi et al., 2011	EEG	Imagined	Generation of five types of phonemes that differ in their manner vocal articulation.
Leuthardt et al., 2011	ECoG	Overt/ Imagined	Overt and imagined phoneme articulation.
Pei et al., 2011a	ECoG	Overt/ Imagined	Overt and imagined repetition of 36 monosyllabic words.
Wang et al., 2011	ECoG	Overt	Three language tasks based on picture-naming.
Pei et al., 2011b	ECoG	Overt/ Imagined	Word repetition using overt or covert speech in response to visual or auditory stimuli.
Derix et al., 2012	ECoG	Overt	Spontaneous speech in non-experimental setup.

Herff et al., 2012	fNIRS	Overt/ Imagined	Utterances produced in auditory, silent and imagined speech.
Zhang et al., 2012	ECoG	Overt	Articulation of Chinese sentences.
Kim et al., 2013	EEG	Overt/ Imagined	Speech of monosyllabic Korean words representing two categories of meaning (number and face).
Bouchard and Chang, 2014	ECoG	Overt	Reading of consonant-vowel syllables.
Derix et al., 2014	ECoG	Overt	Spontaneous speech in non-experimental setup.
Ikeda et al. 2014	ECoG	Imagined	Imagined speech production of three Japanese vowels.
Kanas et al., 2014	ECoG	Overt	Two syllable repetition tasks.
Martin et al., 2014	ECoG	Overt/ Imagined	Overt and covert reading of short-stories.
Mugler et al., 2014a	ECoG	Overt	Overt speech used to identify different phonemes by where they place in different words.
Mugler et al., 2014b	ECoG	Overt	Overt speech used to identify different phonemes by where they place in different words.
Song and Sepulveda, 2014	EEG	Overt/ Imagined	High tone production in overt, inhibited and imagined speech.
Herff et al., 2015	ECoG	Overt	Reading from well-known texts.
Iqbal et al., 2015a	EEG	Imagined	Imagined speech of vowels /a/ and /u/, and no action.
Iqbal et al., 2015b	EEG	Imagined	Imagined speech of vowels /a/ and /u/, and no action.
Lotte et al., 2015	ECoG	Overt	Reading from well-known texts.

Zhao and Rudzicz, 2015	EEG	Overt/ Imagined	Imagined speech production of seven phonemes and two pairs of phonologically-similar words.
Herff et al., 2016	ECoG	Overt	Recitation of a presented sentence.
Martin et al., 2016	ECoG	Overt/ Imagined	Overt and imagined speech production of words selected to maximise variability of number of syllables and semantic category.
Yoshimura et al., 2016	EEG/fMRI	Imagined	Imagined speech production of Japanese vowels /a/ and /i/.
González-Castañeda et al., 2017	EEG	Imagined	Imagined speech production of five Spanish words.
Nguyen et al., 2017	EEG	Imagined	Imagined speech of short words, long words and vowels.
Ramsey et al., 2017	ECoG	Overt	Overt speech production of four phonemes.
Rezazadeh Sereshkeh et al., 2017a	EEG	Imagined	Imagined speech repetition of the words "yes" or "no".
Rezazadeh Sereshkeh et al., 2017b	EEG	Imagined	Imagined speech repetition of the words "yes" or "no".
Fargier et al., 2018	EEG	Overt	Overt word production corresponding to presented pictures.
Hashim et al., 2018	EEG	Imagined	Imagined speech word production.
Ibayashi et al., 2018	ECoG	Overt	Overt speech of 15 Japanese syllables.
Livezey et al., 2018	ECoG	Overt	Overt speech of 57 different consonant-vowel syllables.

## 195 **3 Imagined Speech: A Special Case of Speech**

### 196 **3.1 The phenomena of Imagined Speech**

197 As mentioned above, many definitions for imagined speech are present in the literature  
198 (Alderson-Day and Fernyhough, 2015; Hirshorn and Thompson-Schill, 2006), one of which  
199 refers to it as the internal pronunciation of words without emitting sounds or making facial  
200 movements (Torres-García et al., 2016). Research has demonstrated that imagined speech  
201 involves many cognitive functions including learning (Alderson-Day and Fernyhough, 2015),  
202 task-production (Dolcos and Albarracin, 2014) and memory (Perrone-Bertolotti et al., 2014).

203 Despite its central position in everyday life, imagined speech has been the subject of relatively  
204 little research. Behavioural evidence has indicated that imagined speech is provided by the  
205 motor system's prediction of sensory actions (corollary discharge) (Scott et al., 2013) and it  
206 has been suggested that imagined speech is produced in much the same way as overt speech,  
207 without the motor-based articulation which generates auditory output (Oppenheim and Dell,  
208 2010). Martínez-Manrique and Vicente (Martínez-Manrique and Vicente, 2015) support an  
209 "activity" view of imagined speech, in which the phenomena does not have a "proper function"  
210 in cognition but has simply inherited its suite of functions from overt speech.

211 Other studies have characterised imagined speech as the basis for rehearsal in short-term  
212 memory (Baddeley et al., 1975) and as having a phonological influence in reading and writing  
213 (Oppenheim and Dell, 2008). Further studies concur with these findings, suggesting that inner  
214 rehearsal is a central tenet of imagined speech within the phonological loop, i.e. the temporary  
215 storage of information in short-term memory (Perrone-Bertolotti et al., 2014), and that  
216 imagined speech may interact with working memory to enhance the encoding of new material  
217 (Marvel and Desmond, 2012). It has been suggested that imagined speech serves a regulatory  
218 role in social speech communication, meaning that it is utilised in overt speech communications  
219 (speaking and listening), as well as being implicated as part of a covert articulatory planning  
220 process within the speech-motor processing paradigm (see Price (2012) for review).

221 It has been proposed that imagined speech may be used to generally represent, maintain, and  
222 organise task-relevant information and conscious thoughts (Dolcos and Albarracin, 2014).  
223 Although not normally associated with executive control processes, the role of imagined speech  
224 in task switching, for example, switching attention across multiple arithmetic problems, has  
225 been studied (Emerson and Miyake, 2003). The difficulties associated with studying imagined

226 speech in experimental research has led to the use of overt speech as a proxy for the phenomena  
227 in DS-BCI research (e.g. Martin et al., 2014; Pei et al., 2011b). Therefore, it is useful to have  
228 a clear picture of the relationship between the two types of speech.

### 229 **3.2 The relationship between overt and imagined speech production**

230 The relationship between overt speech and imagined speech has been extensively debated  
231 (Brocklehurst and Corley, 2011; Corley et al., 2011; Oppenheim and Dell, 2010, 2008), though  
232 at present there is no definitive position on the precise nature of this relationship. Here, we  
233 present the evidence for a close relationship between overt and imagined speech, before  
234 considering the ways in which the two differ. Finally, we discuss the implications of this  
235 relationship for DS-BCI research.

236 It has been posited that imagined speech is a truncated form of overt speech, in that the stages  
237 of production are the same for both, prior to the articulatory effects associated with overt speech  
238 (Oppenheim and Dell, 2010). Subjective accounts of imagined speech indicate that it resembles  
239 overt speech in tempo, pitch and rhythm (MacKay and others, 1992) and studies have found  
240 that imagined speech retains deep-lying features such as lexical and semantic information  
241 (Oppenheim and Dell, 2008). The *motor simulation* hypothesis places overt and imagined  
242 speech on a continuum, on which linguistic mechanisms and physiological correlates are shared  
243 (Perrone-Bertolotti et al., 2014), albeit with features attenuated in imagined speech (Alderson-  
244 Day and Fernyhough, 2015). Importantly, the motor simulation hypothesis assumes that  
245 imagined speech necessarily includes fully-specified articulatory detail (e.g. Levelt, 1989),  
246 merely lacking observable sound and movement.

247 Phonemic-similarity (in which mistaken phonemes are replaced with similar phonemes) has  
248 been observed with similar magnitudes for both overt and imagined speech production  
249 (Brocklehurst and Corley, 2011) and further findings suggest that imagined speech is specified  
250 at the sub-phonemic level, and that its process of production must be similar to that of overt  
251 speech (Corley et al., 2011). The implication here is that imagined speech does contain much  
252 of the featural richness associated with overt speech, a view fully compatible with evidence  
253 that phonological representations are fully-encoded in imagined speech. Imagined speech has  
254 been considered part of an overall speech production system, in which it is used for predictive  
255 simulation or “forward models” of linguistic representations, suggesting that it is produced in  
256 much the same way as overt speech, minus overt articulation (Levelt et al., 1999).

257 There is considerable overlap between the neurobiology of overt and imagined speech (Marvel  
258 and Desmond, 2012), with neural activations in typical left-hemispheric language regions, in  
259 general, being associated with both (Basho et al., 2007; Huang et al., 2002; McGuire et al.,  
260 1996a; Palmer et al., 2001) (see section 3.3, below). Activation of Broca's area during imagined  
261 speech indicates that this typical language region is associated with its production, and is  
262 consistent with results from functional imaging studies examining silent articulation (Paulesu  
263 et al., 1993). fMRI results have shown activation of the supplementary motor area (SMA),  
264 inferior frontal gyrus (IFG) and insula during phonological processing of imagined and overt  
265 speech (Aleman et al., 2005). Furthering current understanding of the neuroanatomy and neural  
266 correlates of imagined speech production is an important aspect of research in this field.

267 Although they suggest that there is significant overlap between overt and imagined speech,  
268 Oppenheim and Dell (Oppenheim and Dell, 2008), also advise that imagined speech is  
269 impoverished at the featural level and thus abstract and underspecified. It has been suggested  
270 that imagined speech is often attenuated at the surface level, lacking phonological (Oppenheim  
271 and Dell, 2008) or phonetic (Wheeldon and Levelt, 1995) detail. Countering the view that  
272 imagined speech is intrinsically similar to overt speech, the *abstraction hypothesis* contends  
273 that imagined speech is produced as a consequence of activation of abstract linguistic  
274 representations (e.g. Indefrey and Levelt, 2004). The theory states that imagined speech is  
275 activated before the speaker retrieves any articulatory information, and therefore should not  
276 require any motor activations. There are several arguments in favour of the abstraction view  
277 (summarised in Oppenheim and Dell, 2010), first of which is that imagined speech is produced  
278 faster than overt speech, suggesting that imagined speech is abbreviated in some respect (e.g.  
279 MacKay and others, 1992), and thus lacks the articulatory properties associated with overt  
280 speech. Another argument is that attenuated activity in language-related brain regions during  
281 imagined speech indicates that the processes of production are not as complete as in overt  
282 speech. The third argument presented is that imagined speech does not require articulatory  
283 abilities and so articulation is not required for complete use of imagined speech. The authors  
284 also observe that articulatory suppression does not necessarily eliminate imagined speech.  
285 Moreover, imagined speech does not (necessarily) translate to overt speech performance.  
286 Theoretically, were overt and imagined speech to involve similar planning processes, then it  
287 would be reasonable to expect practice of an utterance in one form of speech to improve  
288 performance in the other. However, evidence has indicated that this is not the case (Corley et  
289 al., 2011).

290 Alternatively, the *flexible abstraction hypothesis* states that there is a single form of imagined  
291 speech, which is represented at the phonemic-selection level (Oppenheim and Dell, 2010). The  
292 hypothesis states that representations can be modulated by articulation to include more explicit  
293 features, and the authors suggest that cases where imagined speech appears to have  
294 phonological features may be caused by participants deploying a form of imagined speech  
295 involving a greater degree of articulation. The flexible abstraction hypothesis suggests that  
296 imagined speech may fail to involve articulatory representations but it can incorporate lower  
297 level articulatory planning when speakers silently articulate. The *surface-impooverished*  
298 *hypothesis* states that imagined speech is impoverised at the surface level, having weaker  
299 lower-level representation (e.g. featural level), and the *deep-impooverished hypothesis* states  
300 that imagined speech represents sounds and gestures, but not higher level information  
301 (Oppenheim and Dell, 2008). Imagined speech may be formed as a featurally-abstract forward  
302 model (Pickering and Garrod, 2013), and phonological features may be experienced due to the  
303 sensory prediction created (Scott, 2013). Imagined speech may also vary depending on  
304 cognitive and emotional conditions, causing changes between abstract and concrete forms  
305 (Fernyhough, 2004).

306 As stated above, neuro-anatomical overlap between regions associated with overt and imagined  
307 speech has been observed. Nevertheless, there are significant differences in brain activity  
308 between the two processes (e.g. Basho et al. 2007). For example, fMRI has discovered that  
309 imagined speech elicits greater activation in several areas of the brain (e.g. Basho et al. 2007)  
310 and a lesion symptom mapping (LSM) study of patients with aphasia showed that participants  
311 with poor overt speech retained relatively strong imagined speech in comparison (Stark et al.,  
312 2017), suggesting a dissociation of the cognitive mechanisms generating overt and imagined  
313 speech. Previous work with aphasics, indicating that imagined speech abilities were more  
314 effected by lesions to the left pars opercularis than overt speech production, led Geva, Jones et  
315 al. (Geva et al., 2011b) to state that imagined speech cannot be assumed to be overt speech  
316 without a motor component. For further information on the neuro-biology of imagined speech,  
317 see section 3.3.

318 Perrone-Bertolotti et al. (Perrone-Bertolotti et al., 2014) astutely observe that the variance in  
319 results between overt and imagined speech experiments may, at least partially, be explained by  
320 the different speech tasks involved in the studies. Word repetition, object naming, verb  
321 generation, etc., all require different speech production processes and thus engage different  
322 areas of the brain. It is also conceivable that differences between the two types of speech could

323 be put down to participants being better able to perceive certain types of error in overt speech.  
324 Perrone-Bertolotti et al. (Perrone-Bertolotti et al., 2014) also suggest that differing results may  
325 indicate that imagined speech consists of flexible subtypes or levels, and that the experimental  
326 paradigm may be partially responsible for the differences observed between the two types of  
327 speech.

328 Clearly, there is no definitive description of the precise relationship between overt and  
329 imagined speech, and this is a subject that requires further elucidation from neurolinguistics  
330 research. We agree with Martínez-Manrique and Vicente (Martínez-Manrique and Vicente,  
331 2015), that a comprehensive view of imagined speech will require precise models of linguistic  
332 production and comprehension, and a cognitive account will require more data than is currently  
333 available. Therefore, we must also agree with Geva, Jones et al. (Geva et al., 2011b) that overt  
334 speech cannot simply be assumed to be a reliable substitute for imagined speech. It is our  
335 contention, in relation to DS-BCIs, that it is not possible to reliably infer performance in an  
336 imagined speech paradigm from results obtained during overt speech experiments. This is not  
337 to say that there is no value in overt speech paradigms, and given that there is much overlap in  
338 the linguistic theory and neurobiology associated with both, there is certainly a lot to be gained  
339 from such experiments. However, as the communicative paradigm for an eventual operational  
340 DS-BCI is imagined speech, we must emphasise the importance of utilising this modality, when  
341 possible, in experimental protocols.

### 342 **3.3 The neuroanatomy of imagined speech**

343 Alderson-Day and Fernyhough (Alderson-Day and Fernyhough, 2015) suggest that a *prima*  
344 *facie* assumption about the neural correlates of imagined speech might be that they closely  
345 resemble an attenuated version of the neural activity associated with overt speech. There is  
346 evidence supporting activation in Broca's area, SMA and parts of the prefrontal cortex, having  
347 been observed during both overt and imagined speech (see Price, 2012 for review). Studies  
348 have shown that overt and imagined speech do produce similar neural activations, with the  
349 exception of certain motor-related activity associated with overt speech (Palmer et al., 2001),  
350 and that the blood oxygen level-dependent (BOLD) response measured from fMRI recordings  
351 was greater during overt than imagined speech (Shuster and Lemieux, 2005). However, the  
352 neuro-anatomy of imagined speech has been shown to differ from that of overt speech (e.g.  
353 Basho et al. 2007). It is important to identify the regions specifically correlated with imagined  
354 speech in the context of development of a DS-BCI that are independent of movement and



355 therefore not overt speech production, and are independent of stimuli and therefore not speech  
356 perception.

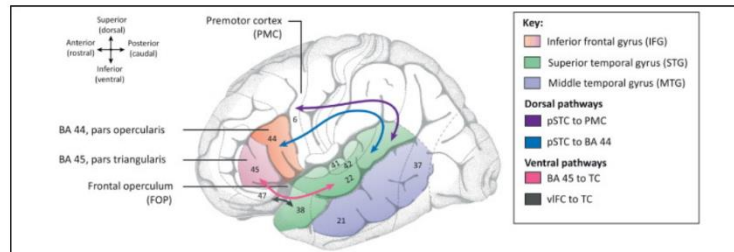
357 Reports on the anatomical underpinnings of imagined speech have consistently implicated the  
358 left inferior frontal gyrus (LIFG) as the anatomical basis for the phenomena (Aleman et al.,  
359 2005; McGuire et al., 1996a, 1996b; Shergill et al., 2002) (see Figure 3 (Berwick et al., 2013)).  
360 Positron Emission Topography (PET) has attributed LIFG activation to imagined speech  
361 during sentence and single-word production (McGuire et al., 1996b) and fMRI was used to  
362 observe LIFG activation during imagined sentence production (Shergill and Bullmore, 2001;  
363 Shergill et al., 2002). In the second of these fMRI studies (Shergill et al., 2002), the LIFG,  
364 along with other regions, was associated with increased activation corresponding to increased  
365 rates of imagined speech production. The region has also been associated with increased  
366 activation during dialogic, in comparison with monologic, imagined speech (Alderson-Day et  
367 al., 2015). Morin and Michaud (Morin and Michaud, 2007) note that the LIFG exhibits  
368 functional heterogeneity, observing that its most anterior parts (Brodmann's Area (BA)45) are  
369 involved in word retrieval and their associated meanings, while the posterior (BA46/47)  
370 specialises in accessing words through an articulatory code (Paulesu et al., 1997). It has been  
371 observed that task-elicited imagined speech results in increased activation in the LIFG, in  
372 comparison with spontaneous imagined speech (Hurlburt et al., 2016). The authors suggest that  
373 activation of LIFG during task-elicited imagined speech may be a reflection of elicitation tasks  
374 rather than the speech itself, as the LIFG is thought to be integral to planning and execution of  
375 hierarchical sequences.

376 Among regions most often observed as corresponding to imagined speech production are SMA  
377 (Shergill and Bullmore, 2001; Shergill et al., 2002), insula (Aleman et al., 2005), premotor  
378 cortex (McGuire et al., 1996a), STG, and middle temporal gyrus (MTG) (Shuster and Lemieux,  
379 2005). The SMA, left precentral gyrus and the right inferior parietal lobe are all associated with  
380 increased activation at slower rates of imagined speech production (Shergill et al., 2002). The  
381 SMA has also been associated with sentence-repetition tasks (Shergill and Bullmore, 2001)  
382 and phonological processing during imagined speech (Aleman et al., 2005). The insula has  
383 been implicated in multiple studies reporting on imagined word production (Aleman et al.,  
384 2005; Hubbard, 2010; McGuire et al., 1996a; Shergill and Bullmore, 2001) but may not be  
385 representative of imagined speech given that it is often associated with imagined hearing (see  
386 below) and overt speech. However, Shuster and Lemieux (Shuster and Lemieux, 2005)  
387 observed that many studies which have failed to report involvement of the insula in speech

388 production have typically only used imagined or silently-articulated speech (Wildgruber et al.,  
389 2001).

390 Increased activation has been observed in the left MTG and STG during the production of  
391 multisyllabic words in imagined speech trials (Shuster and Lemieux, 2005) and the posterior  
392 STG has been implicated in metric stress evaluation in the phonological loop (Aleman et al.,  
393 2005) (see Figure 3). Interestingly, the left MTG and STG are often associated with increased  
394 activity during trials involving imagined hearing or dialogic imagined speech (see Alderson-  
395 Day and Fernyhough, 2015 for review). This type of task, in which a participant is asked to  
396 imagine hearing speech in another person's voice, is thought to rely on memory for  
397 phonological information (Alderson-Day and Fernyhough, 2015), and to activate the primary  
398 auditory cortex (Heschl's gyrus) (Hurlburt et al., 2016). Other findings indicate that dialogic  
399 imagined speech draws from a range of regions beyond a typical left-sided perisylvian language  
400 network, including the right IFG, right MTG and the right STG/STS (Alderson-Day et al.,  
401 2015). The precuneus, posterior cingulate, left insula and cerebellum are also implicated. The  
402 dorsal pathways between BA44 and the posterior superior temporal cortex (pSTC) subserve  
403 higher-order hierarchical sequences and thus support core syntactic processes (Friederici,  
404 2018), whereas the ventral pathways, including between BA45 and the temporal cortex (TC),  
405 support processing of semantic and conceptual information (Berwick et al., 2013).

406 Hurlburt, Heavey and Kelsey (Hurlburt et al., 2013) state that both production, and perception,  
407 of imagined speech exhibit activations in regions such as the IFG, SMA, insula and posterior  
408 STG (Hubbard, 2010; Price, 2012). Although there certainly appears to be overlap between  
409 imagined speech and imagined hearing, they are, in general, anatomically separable. Imagined  
410 speech is typically associated with left-hemispheric regions, including the LIFG, insula and  
411 STG (McGuire et al., 1996a), whereas imagined hearing corresponds to a bilateral network  
412 with activation of SMA, posterior parietal cortex, STG and MTG (Zatorre and Halpern, 2005).  
413 It has been suggested that differences between the two conditions may be the result of  
414 additional motor elements of imagined speech which involve the deployment of a  
415 somatosensory forward model (Tian and Poeppel, 2013).



416

417 **Figure 3 Neuroanatomical regions associated with imagined speech production.**

418 Concerns have been raised surrounding the ecological validity of findings on the neural  
 419 components of imagined speech (Alderson-Day and Fernyhough, 2015). Paradigms are often  
 420 simple word or sentence-repetition tasks, ignoring the complexity of imagined speech (Jones  
 421 and Fernyhough, 2007). Although experiments such as these are a common approach in  
 422 language studies, it is our view that further studies examining spontaneously-produced speech  
 423 (Derix et al., 2014, 2012; Ruescher et al., 2013), and imagined speech (Hurlburt et al., 2016),  
 424 are required to provide greater elucidation of the neural underpinnings of the phenomena. It is  
 425 also important to note that, as well as general activations associated with imagined speech  
 426 production, processing of complex lexical, phonological, semantic (Basho et al., 2007) or word  
 427 retrieval (Hirshorn and Thompson-Schill, 2006) tasks correspond to additional activity in the  
 428 inferior frontal cortex (IFC) of the left hemisphere. We concur with Bocquelet et al. (Bocquelet  
 429 et al., 2017) that neuro-anatomical findings indicate high-level processing of imagined speech  
 430 requires left-lateralisation.

431 Information on the neuroanatomical regions associated with imagined speech production is  
 432 enhanced by consideration of the characteristics of the corresponding neural activations, and  
 433 in particular, the frequency bands that may provide the most discriminable content. Activations

434 in the beta band above Broca's area and the frontal cortex have been associated with imagined  
435 speech production (Rezazadeh Sereshkeh et al., 2017b). In one study, increased activity was  
436 observed in EEG channels located close to Broca's area in the frequency range of 20-30 Hz,  
437 whereas activity in Wernicke's area appeared primarily below 15 Hz (Nguyen et al., 2017).  
438 This may indicate that separate frequency bands contain information relating to different  
439 speech production processes. In the same study, the authors use evidence from the classification  
440 of short versus long words to suggest that differences in the complexity of words could create  
441 discriminative features across frequency bands. In an imagined speech yes/no classification  
442 task, no discriminative difference was detected in the delta, theta, alpha and mu rhythms.  
443 However, in the higher frequency ranges (beta and gamma), a discriminative pattern was  
444 associated with typical left-sided speech regions (Rezazadeh Sereshkeh et al., 2017b).

445 MEG measurements obtained during a silent reading task showed event-related  
446 desynchronization (ERD) in the alpha and beta bands over Broca's area (Goto et al., 2011).  
447 The results of an ECoG study into imagined speech vowel articulation suggested that signals  
448 in the alpha (8-13 Hz) and beta (14-30 Hz) bands over Broca's area may contain information  
449 about the articulatory code of single vowels but not about segmentation of a phoneme sequence  
450 (Ikeda et al., 2014). Clearly, the recording technique employed impacts the frequency ranges  
451 that can be analysed. For example, filtering imagined speech EEG data between 3 and 20 Hz,  
452 (Deng et al., 2010) found considerable energy in the alpha band (8-14 Hz), whereas using  
453 ECoG has allowed researchers to obtain features from the high gamma (70-150 Hz) band  
454 (Martin et al., 2016), which is useful for its association with spike rate and local field potential,  
455 and its reliable tracking of rapid neural fluctuations during speech perception and production  
456 (e.g. Pei, Barbour, et al. 2011). It is our view that this information on the important frequency  
457 bands associated with imagined speech can aid decoding approaches in future research.  
458 However, it is also important that further research in this area is undertaken so that a detailed  
459 and accurate picture of the spatial-temporal-spectral correlates of imagined speech is developed.

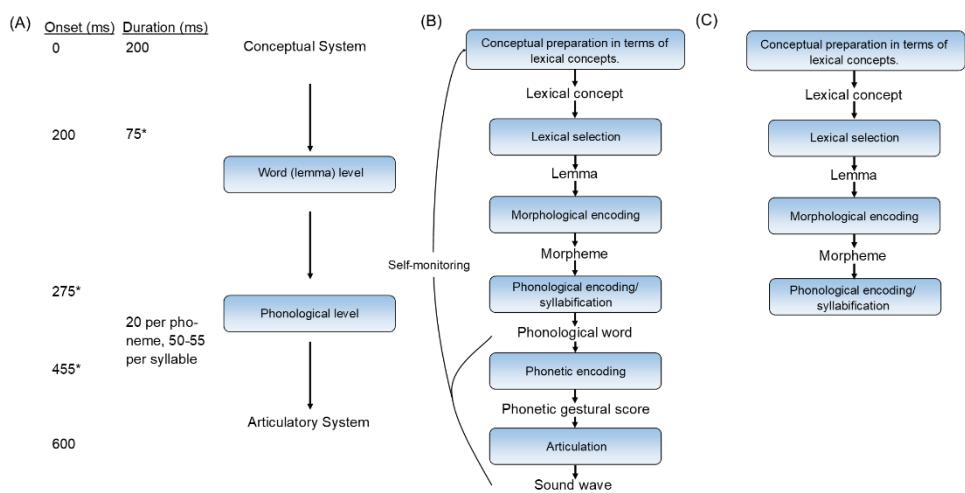
460 In section four, we extend our analysis on the neuroanatomical underpinning of imagined  
461 speech to include current understanding of speech production processes and the anatomical  
462 regions-of-interest they correspond to.

## 463 **4 How is (Imagined) Speech Produced?**

### 464 **4.1 Models of Speech Production**

465 It is a matter of consensus in psycholinguistic research that speech production is planned across  
466 multiple hierarchically organised levels of analysis (Hickok, 2012) and that word production  
467 involves at least two stages of processing: a lexical and a phonological stage (Levelt et al.,  
468 1999) (Figure 4B). Models of speech production can differ in terms of the number of distinct  
469 stages involved (Hickok, 2014, 2012; Levelt, 1999; Levelt et al., 1999), but there is general  
470 agreement that it involves a staged, hierarchical process with a temporal structure, as indicated  
471 by the models in Figure 4.

472 According to Levelt (Levelt, 1999), spoken word production includes lexical selection, lemma  
473 retrieval, morphological and phonological code retrieval, and is completed with articulation  
474 (Figure 4A). Models of speech production typically begin with an input from the conceptual  
475 system, i.e. the message to be expressed (Levelt, 1999). This is then mapped to a corresponding  
476 lexical representation, encoding properties such as grammatical features but not a phonological  
477 form. Following selection of a lemma, the morphological stage bridges the gap between the  
478 conceptual domain and the phonological or articulatory domain. Phonetic encoding and  
479 articulation, seen in Figure 4A, are stages of the speech production process concerned with  
480 acoustic output. The speech production models, as stated here, are based primarily on work in  
481 the fields of motor control and psycholinguistics, and it has been noted that linguistic models  
482 are currently constrained by the need for further developments in neuroscience (Hickok, 2012).  
483 EEG studies have been used to study the time courses associated with the processing stages in  
484 word production (see Indefrey 2011 for review). Following analysis of several event-related  
485 potential (ERP) studies, Indefrey (Indefrey, 2011) presented the following estimated onset  
486 times and durations for overt speech production: conceptual preparation (0-200ms), lemma  
487 retrieval (200-275ms), phonological code retrieval (275ms onset), syllabification (355ms onset;  
488 20ms per phonemes, 50-55ms per syllable), phonetic encoding (455ms onset) and articulation  
489 (600ms) (Figure 4A). Although this research is based on overt speech, and the articulation stage  
490 is not relevant, the estimated timings can be informative for DS-BCI researchers seeking to  
491 target a specific stage of the production process during signal decoding.



492

493

**Figure 4 Speech production models with estimated time courses.**

494 Language production involves multiple levels of representation and this modular system  
 495 incorporates various sub-systems, i.e. semantics, syntax and phonology. Different brain regions  
 496 in the left and right hemispheres have been identified as supporting these language functions,  
 497 with syntactic processing supported by networks involving the temporal cortex and inferior  
 498 frontal cortex, and less lateralised temporo-frontal networks subserving semantic processing  
 499 (see Friederici, 2011). In discussing Hebbian theory, Pulvermüller (Pulvermüller, 1999)  
 500 considers whether lexical or semantic distinctions reflect differences that are biologically real,  
 501 using it to explain the observation that word meanings can be mapped to different cortical  
 502 regions, for example. This results in words that are distinguished on the basis of linguistic  
 503 criteria being represented differently in the brain. Investigations into the neural correlates of  
 504 language function and competence commonly employ functional imaging approaches (see  
 505 Indefrey and Levelt, 2004), as well as LSM to determine the links between linguistic  
 506 pathologies and corresponding lesion sites in aphasics (Bates et al., 2003). Linguistic research  
 507 can be considered within the context of several modular domains, four of which (semantics,  
 508 lexical access, syntax and phonology) are discussed in the following sections.

## 509 4.2 Semantics and the meaning of words

510 Semantic knowledge has been referred to as the ability to assign and use the meaning of words,  
511 relying on both stored semantic knowledge and executive control to enable semantic activation  
512 in line with goals and constraints (Whitney et al., 2012). The term semantics refers to the  
513 meaning of a word or collection of words. In the models of speech production in Figure 4,  
514 semantic information forms part of the conceptual stage in which a message to be expressed is  
515 conceived. This conceptual stage precedes lexical selection, syntactic encoding and  
516 phonological encoding, with the process leading up to selection of a lexical concept referred to  
517 as “conceptual preparation.” Mapping between the semantic concept to be expressed and a  
518 lexical formulation of this message is not a simple one-to-one process, as there are often  
519 multiple ways to refer to a single concept (e.g. a car may be referred to as a vehicle, saloon,  
520 motorcar, etc.) (Levelt et al., 1999).

521 Semantic comprehension studies indicate that semantic operations are normally slower to  
522 develop and longer lasting than syntactic operations (Piñango et al., 2006) and thus  
523 accommodate slower lexical activation than syntactic dependencies (Love et al., 2008).  
524 However, it cannot simply be assumed that the relationship between semantic and syntactic  
525 comprehension is mirrored in speech production processes. One study has posited the  
526 possibility of an intermediate layer between semantics and phonology due to the arbitrary  
527 nature of the mapping from meaning to sounds, i.e. words with similar meanings do not tend  
528 to have similar sounds associated (Lambon Ralph et al., 2002), and the Hebbian associationist  
529 model predicts that semantic differences between word categories generate patterns of neural  
530 activity reflective of those differences (Pulvermüller, 1999). For example, naming of living  
531 versus inanimate objects was more strongly correlated with integrity of the middle temporal  
532 cortex (MTC), while both categories showed significant overlap in the frontal cortex (Henseler  
533 et al., 2014). Additionally, large parts of the IFG appear to be involved in semantic  
534 differentiation of verbs versus nouns. Activation in the LIFG is typically exhibited when  
535 difficult semantic relationships, such as the meaning of ambiguous words (e.g. words such as  
536 break, light and head have multiple meanings) within a sentence, need to be parsed. These  
537 difficult relationships may be weak or unusual associations, an increased number of response  
538 options or competition among potential targets in a semantic network (Badre et al., 2005).  
539 Although many neuroimaging studies have concentrated on the LIFG as the basis for semantic  
540 processing and control, other studies show that damage to a wide distribution of brain regions  
541 results in impairment of semantic control (Whitney et al., 2012). The orbital IFG exhibited

542 higher correlation with the semantic differentiation of nouns, whereas a more posterior,  
543 triangular/opercular part of the IFG was associated with the impaired differentiation of verbs.  
544 Results from action word studies have indicated that semantic processing can engage many  
545 different cortical areas, with Pulvermüller (Pulvermüller, 2005) stating that this contradicts the  
546 view that processing of meaning is concentrated in a single cortical location. Moreover, it has  
547 been demonstrated that word class-distinctions can be made in relation to different types of  
548 action words (Hauk et al., 2004), with different cortical activations associated with the muscles  
549 used to perform a given action, the complexity of the movement and the number of muscles  
550 involved (Pulvermüller, 1999).

### 551 **4.3 Lexical access maps meaning to words**

552 Lexical access is the process that facilitates access to the words retained in memory that are  
553 required for language production. Dell, Martin and Schwartz (Dell et al., 2007) present a two-  
554 step model of lexical access in which a network consists of a semantic layer connected to words,  
555 and words connected to a phoneme layer. Word retrieval begins when the semantic features of  
556 an intended word are activated. This activation proceeds through the network resulting in the  
557 selection of the most active word from a grammatical category. A phonological retrieval stage  
558 begins with the activation of this selected word.

559 Lexical access effects the fluency and speed at which speech is produced. For example, it has  
560 been shown that function words (i.e. contributing to syntax/grammar) are accessed faster than  
561 content words (i.e. contributing to information/meaning), independent of perceptual  
562 characteristics (Segalowitz and Lane, 2004). Another factor influencing lexical fluency is the  
563 frequency with which a word is used (Mohr et al., 1996). In a picture-naming paradigm,  
564 participants displayed quicker response-times in object-naming tasks than they did in action-  
565 naming tasks, leading the authors to posit that the process of mapping between the picture and  
566 the name itself appears to differ between lexical categories, namely nouns versus verbs  
567 (Szekely et al., 2005). Other evidence taken from studies involving patients with aphasia has  
568 shown that the mental lexicon distinguishes grammatical classes (Benetello et al., 2016).

569 There are several brain regions associated with word production during lexical selection.  
570 Indefrey and Levelt (Indefrey and Levelt, 2004) reviewed 82 functional imaging studies of  
571 single word production, identifying 11 regions in the left hemisphere (posterior IFG, ventral  
572 precentral gyrus, SMA, mid and posterior STG and MTG, posterior temporal fusiform gyrus,  
573 anterior insula, thalamus, and medial cerebellum) and four in the right (mid-STG, medial and



574 lateral cerebellum and SMA) involved in core processes of word production. Other functional  
575 imaging studies have demonstrated that lexical-semantic knowledge is stored in the temporal  
576 lobe (Vigneau et al., 2006) and that the region can operate as a lexical interface linking  
577 phonological and semantic information in a sound-to-meaning interface (Hickok and Poeppel,  
578 2007). Elsewhere, the left MTG has been found to associate with lexical selection (Indefrey  
579 and Levelt, 2004). The spatiotemporal dynamics of word retrieval, including lexical selection,  
580 are not well understood, but Riès et al. (Riès et al., 2017) have shown that activation of word  
581 representations and their selection temporally co-occur and that a widespread network of  
582 overlapping brain regions is associated. The variety of brain regions implicated in word  
583 production suggests that there is potential for exploiting semantics, syntax and phonology to  
584 activate different regions during imagined speech production to maximise the separability of  
585 brain activations for DS-BCI.

#### 586 **4.4 The hierarchical structure of syntax**

587 Contemporary linguistic theories contend that syntactic and sentential representations are  
588 complex sets of hierarchically organised syntactic categories, and that the relationships  
589 between categories in this hierarchy determine the different aspects of propositional meaning  
590 (see Zaccarella and Friederici (2016) for a neurobiological review of syntactic hierarchies).  
591 During syntactic encoding, a conceptual message is linguistically encoded by retrieval of  
592 corresponding words from the lexicon, and grammatical ordering of these words (Indefrey et  
593 al., 2001). Stored syntactic information, such as word class, are used to compute a structure  
594 that specifies the relationships between words in a sentence, e.g. order and inflection.

595 It has been proposed (Frazier, 1987), and countered (Friederici, 2002), that there is an isolated  
596 syntactic processing mechanism that has no relation to semantics or other non-syntactic  
597 information. It has been stated that syntactic encoding in speech production exhibits close  
598 temporal overlap with other processes (Indefrey et al., 2001) and that brain activations in the  
599 frontotemporal language network have indicated that syntactic processing occurs prior to  
600 semantic processing, but that these processes are not isolated mechanisms (Friederici, 2002).

601 Syntactic processing is specifically associated with BA44, located in the posterior portion of  
602 Broca's area in the LIFG, and its white matter connection to the posterior temporal cortex  
603 (Friederici, 2018). A functional imaging study has provided evidence that hierarchical syntactic  
604 conditions localised in the ventral portion of BA44 (Zaccarella and Friederici, 2015). In  
605 contrast, activations corresponding to processing of two-word sentences without syntactic

606 hierarchy were associated with the frontal operculum/anterior insula. Love et al. (Love et al.,  
607 2008) provide evidence that the LIFC supports syntactic processing because it sustains the  
608 requisite lexical activation speed needed for the real-time formation of a syntactic dependency.  
609 Elsewhere, PET has been used to identify both sentence-level and local syntactic encoding of  
610 speech in the Rolandic operculum, adjacent to Broca's area (Indefrey et al., 2001).

#### 611 **4.5 The internal phonological speech code**

612 Within psycholinguistic theory the assumption exists that speech articulation is preceded by an  
613 internal abstract speech code (Wheeldon and Levelt, 1995). In speech production, a word can  
614 have different intonation, duration and amplitude, leading to the proposal that each linguistic  
615 unit has a phonological representation encoding features unique to that unit. Phonological  
616 representations are categorical and consist of discrete timeless segments (Wheeldon and Levelt,  
617 1995). Models differ as to the timing and order at which phonemes are assigned to a  
618 phonological structure. Following the syntactic computation phase, stored information on the  
619 sounds of words is retrieved as "phonological codes". These are then transformed to produce  
620 an executable code i.e., speech (Indefrey et al., 2001).

621 It has been proposed that phonological word representation is accessed from Broca's area and  
622 compiled into segments of syllables (Indefrey and Levelt, 2004). Other studies indicate that the  
623 posterior middle and inferior portions of the temporal lobes are linked to phonological and  
624 semantic processing (see Hickok and Poeppel, 2007). Another suggestion (Edwards et al., 2010)  
625 is that speech production is enabled through verbal/phonological working memory using the  
626 dorsal stream areas implicated in speech perception and phonological working memory (e.g.  
627 Hickok and Poeppel, 2007). It has been suggested that phonological encoding exhibits  
628 correlation with the superior temporal sulcus (STS) (Llorens et al., 2011), while the authors of  
629 one study linked the IFG and STS gamma band responses (>40 Hz) to the phonological  
630 retrieval processes and imagined speech production, using intracranial EEG recordings (Mainy  
631 et al., 2008). Although it is well known that lemma selection begins earlier than phonological  
632 encoding it seems that there is some temporal overlap between the two activations (Sedivy,  
633 2014) and it is possible that phonologically-similar words are represented by overlapping cell  
634 assemblies sharing a single perisylvian region (Pulvermüller, 1999). It is possible for a  
635 phonological word form to have two meanings (e.g. the noun/verb dichotomy of the/to beat),  
636 and it has been suggested that there must be an underlying mechanism for realising the  
637 exclusive-or relationship between the two.

638 The review of the literature presented in sections 2, 3 and 4 provides the basis for our discussion  
639 on the role of linguistics within the framework of DS-BCI research. This discussion is  
640 presented in section 5.

## 641 **5 An Enhanced Role for Linguistics in BCI Research**

642 Overt speech is a rich tapestry of sound, pitch, rhythm, structure and meaning, and studies have  
643 shown that imagined speech retains many of these articulatory characteristics (Alderson-Day  
644 and Fernyhough, 2015; Scott et al., 2013). It is one of the great challenges of DS-BCI research  
645 to represent this communicative richness through the modality of a BCI. With this goal in mind,  
646 improvements to experimental protocol have been suggested, including the use of a vocabulary  
647 of words with semantic meaning to improve discrimination between words, and a normalisation  
648 of word length to mitigate the high variance of this feature (Porbadnigk et al., 2009). We  
649 advocate the use of novel experimental design to enhance effective elicitation of imagined  
650 speech and improve discriminability between phonemes, words and sentences. Further  
651 investigation into the neurological and neuroanatomical underpinnings of imagined speech  
652 production and the development of a more concrete understanding of the information contained  
653 within different frequency bands at different brain foci, is also required. The importance of  
654 consistency in the way imagined speech is produced by experimental participants, and the  
655 effect of providing them with a thorough understanding of what is meant by imagined speech  
656 production, are additional areas for investigation that may improve the robustness of  
657 experimentation. In the following subsections, we extend the work of Iljina et al. (Iljina et al.,  
658 2017) by highlighting three key areas where BCI research can benefit from findings in the field  
659 of neurolinguistics.

### 660 **5.1 Incorporating the structure of speech production processing**

661 The sheer complexity of the neural mechanisms underpinning speech is one of the primary  
662 factors causing resistance to the development of a DS-BCI. In comparison with many of the  
663 previous incarnations of communicative BCI (Chaudhary et al., 2017; Pandarinath et al., 2017),  
664 the character of the modality of interaction, i.e. imagined speech, is still a relatively poorly  
665 understood phenomenon. In relation to DS-BCIs, the following question has been put forward:  
666 when does semantic, phonological, or syntactic processing occur (Iljina et al., 2017)? The  
667 analysis of Indefrey (Indefrey, 2011) provides some insight into the relative timings associated  
668 with the stages of speech production (see Figure 4) and indicates that it may be possible to  
669 target decoding of semantic information at an earlier stage than the phonological representation.

670 The temporal sequence of these processes is an important consideration for BCI researchers  
671 seeking to extract meaning from imagined speech, but there are opposing views to navigate.  
672 One of these is a sequential model in which word production involves a series of separate stages  
673 from semantic concept through word retrieval and phonological articulation (Levelt et al.,  
674 1999). Alternative models hypothesize a parallel architecture in which neuro-linguistic  
675 processes occur simultaneously (Jackendoff, 2007). Whichever of these models is correct, they  
676 must be incorporated into the DS-BCI paradigm.

677 The speech production process as depicted in section 4 offers a staged process with the potential  
678 to be mined for more targeted decoding approaches. Models of speech processing, for example,  
679 have proposed that accessing the phonological representation of a word releases two kinds of  
680 information: a frame which specifies the structure of a word and phonemes to fill slots in this  
681 structure (Dell, 1988; Levelt, 1992). An interesting operation referred to as *gap filling* (Love  
682 et al., 2008) has been observed in studies of lexical priming where the meaning of a displaced  
683 constituent is activated when it is first encountered in a sentence and then reactivated at a site  
684 indexed by a trace. Consider the following sentence as an example: “(*The boy*)<sub>i</sub> that the horse  
685 chased (*t*)<sub>i</sub> is tall.” In a case like this, activation is present for “boy” and again at the gap  
686 indexed by “t” where there is no phonologically realised word. Crucially, there is no activation  
687 before the word “chased”, indicating that the activation for “boy” at the gap is not residual  
688 activation but the result of reactivation (Love et al., 2008). This may have important  
689 implications for the development of a DS-BCI which decodes continuous imagined speech  
690 from brain activity, as the neurological basis of syntax requires a complex series of operations  
691 not simply based on surface word order. Understanding of the widely distributed brain regions  
692 associated with semantic and syntactic processing, and speech production (as discussed in  
693 section 4.2 and 4.4) should be harnessed along with enhanced methods for eliciting imagined  
694 speech, to improve the decoding accuracy of DS-BCIs.

695 Herff et al. (Herff et al., 2017) have shown that continuous speech is represented as a sequence  
696 of phones within the brain and is thus a legitimate target for DS-BCI research. Following this,  
697 it seems reasonable to suggest that concatenation of imagined speech units can be used to  
698 produce words and sentences. Perrone-Bertolotti et al. (Perrone-Bertolotti et al., 2014) discuss  
699 concerns over the way imagined speech manifests itself and how personal agency or lack  
700 thereof leads to different forms of imagined speech. The more active form, described as  
701 “deliberate covert production of speech”, is consciously-generated speech and the target of DS-  
702 BCI research. However, a less deliberate manifestation known as “verbal mind wandering” can

703 occur spontaneously. Despite not being the direct target of DS-BCIs, this second state of  
704 imagined speech may influence the performance of such a device or even activate  
705 communication when none was intended.

## 706 **5.2 Leveraging neurolinguistics concepts to improve discriminability**

707 The ability to effectively discriminate between neural recordings is an essential component of  
708 any BCI, and it is a particularly complicated challenge in relation to DS-BCIs, given the  
709 complex and dynamic processes of speech production. Decoding brain activity corresponding  
710 to imagined speech, given the dense vocabulary and the volume of potential semantic  
711 combinations that humans possess is an exceptional challenge. In Section 4.2, evidence is  
712 presented linking different semantic categories to different lesion foci, and semantic  
713 categorisation of words appears to be a promising method for improving classification from a  
714 constrained lexicon. Content words i.e., words with rich semantic meaning (e.g. words  
715 referring to tastes, sensations, sounds, motor activities etc.) have been associated with distinct  
716 regions of the brain and may enable classification of words based on semantic criteria  
717 (Pulvermüller, 1999). Although this may appear to be a somewhat contrived method for  
718 improving accuracy, this approach can help elucidate the degree to which semantic  
719 categorisation contributes to differentiation between words (Wang et al., 2011). Categorical  
720 differences between words can induce significantly different brain activity and this variance  
721 may be an aid to classification. For example, action words (e.g. kick, throw, blink) can have  
722 the effect of activating brain regions actually involved in carrying out the activity (Hauk et al.,  
723 2004). Similarly, words corresponding to touch may include significant activation in the  
724 somatosensory cortices and sound words may cause increased activation in bilateral auditory  
725 cortices (Pulvermüller, 1999).

726 Imagined speech's close association with working memory (Marvel and Desmond, 2012), the  
727 range of articulatory forms it can take (Alderson-Day et al., 2015; Deng et al., 2010) and the  
728 different neural activations it exhibits in relation to overt speech (Basho et al., 2007), contribute  
729 to making imagined speech extremely difficult to decode effectively. Methods employed in  
730 neurolinguistics can help DS-BCI researchers improve cuing and elicitation techniques,  
731 making it easier to determine precisely what is being decoded from brain activity. This may  
732 take the form of semantic or phonological priming, as suggested above, or improvement of  
733 experimental protocols to ensure participants are clear on what is expected from them. It may

734 also be possible to protect against unwanted noise in the data, for example, via articulatory  
735 suppression.

736 The previously-stated proposal that each linguistic unit has a unique phonological  
737 representation (Section 4.5) is a potential avenue for improving imagined speech  
738 discriminability (Zhao and Rudzicz, 2015). Clearly, if the assertion of a unique phonological  
739 code is correct, this would be a primary target of DS-BCI decoding approaches, as a single  
740 representation corresponding to a single word or phoneme would make those approaches easier  
741 to implement, given that the prior stages in the speech production process may not be required.  
742 It is the recommendation of this review that further investigation into the potential phonological  
743 discriminability of units of imagined speech is pursued.

744 Although much of the research to date into a possible DS-BCI has focused on discrete linguistic  
745 units, i.e. vowels, consonants etc., it has been suggested that the neural substrates responsible  
746 for the representation of phonemes may differ depending on whether they are processed as part  
747 of a sequence or processed alone (Ikeda et al., 2014). Di Liberto, O’Sullivan and Lalor (Di  
748 Liberto et al., 2015) lament the lack of research present in the literature regarding the parsing  
749 and processing of continuous speech. However, the difficulty of experimentation with  
750 imagined speech and the impracticality of attempting to decode continuous speech, at a time  
751 when decoding discrete units of speech is still enormously challenging, has meant that to-date  
752 the majority of studies have focused on discrete units of speech in the development of decoding  
753 strategies.

754 If progress is to be made using these approaches, the anatomical information summarised in  
755 Sections 3 and 4 will be important for informing decoding strategies. Targeting regions-of-  
756 interests specific to speech production may be a promising approach to the development of a  
757 DS-BCI (Guenther et al., 2009), particularly considering that speech processing is a highly-  
758 distributed operation with semantics, lexical access, syntax and phonology all correlated to  
759 different regions. Although we agree with Bocquelet et al. (Bocquelet et al., 2017) that the  
760 LIFG is clearly implicated in imagined speech production, and a promising candidate for DS-  
761 BCI research, we think it is important to consider a wider, and probably bilateral, network  
762 where the distributed connectivity predicted by Hebbian theory is accounted for. The evidence  
763 presented here indicates a wide cortical network associated with different linguistic categories  
764 and stages of the speech production process. It is our assertion that a complete picture of the  
765 neuro-anatomical correlates of imagined speech will provide greater opportunities for effective  
766 discriminability.

### 767 **5.3 Mitigating the limitations of experimental methodology**

768 Progress towards a DS-BCI is dependent on the effectiveness of future research methodologies  
769 and on novel approaches to system development. It has been noted that researchers seeking to  
770 distinguish word classes from neural activation should consider the effect of word length, word  
771 frequency, emotional properties of the stimuli, word repetition, priming and syntactic and  
772 semantic context when designing experiments (Pulvermüller, 1999). The same author also  
773 warns of the possible unintended effects of presenting words in sentences or word-strings, due  
774 to the neurophysiological response being a complex blend of the semantic and syntactic  
775 interactions of the given words. One of the difficulties associated with development of a DS-  
776 BCI is inferring from experimental participants that the required tasks have been performed  
777 (Geva et al., 2011b). The lack of behavioural output from participants has meant that  
778 researchers have been faced with a choice of whether to accept assertions that a given task has  
779 been correctly undertaken, to design their experimental procedure in a manner that will elicit  
780 the required imagined speech activity (Geva et al., 2011a), or to merge their imagined speech  
781 protocols with an overt action in an attempt at cross-verification (Oppenheim and Dell, 2008).  
782 Limitations to the scope of empirical study in the case of imagined speech has induced the  
783 development of methods for indirect study of the phenomenon (Filik and Barber, 2011;  
784 Oppenheim and Dell, 2008). Alderson-Day and Fernyhough (Alderson-Day and Fernyhough,  
785 2015) present recent methodological advances in the field, including imagined speech  
786 inducement and inhibition as a means of studying its effects.

787 Neuroimaging studies into the nature of imagined speech have often asked participants to  
788 simply articulate some words or sentences in imagined speech, or to imagine speech with  
789 different characteristics. A danger associated with these studies is the lack of ecological validity  
790 in eliciting imagined speech (Alderson-Day and Fernyhough, 2015) and the failure of  
791 researchers to acknowledge the possibility that imagined speech is present during baseline  
792 assessments (Jones and Fernyhough, 2007). A technique known as articulatory suppression  
793 might provide some assistance in ameliorating this issue (Miyake et al., 2004). The evidence  
794 presented in Section 3.1 indicated variation in the phenomena of imagined speech, both in  
795 terms of how it is activated and how it is perceived. Studies have shown that imagined speech  
796 is not generally understood in the same way by participants and can vary widely in its  
797 phenomenology (Alderson-Day and Fernyhough, 2015). It is the job of the DS-BCI researcher  
798 to ensure that each participant is well-informed prior to engaging in experimentation. The  
799 methodology employed by Geva, Jones, et al. (Geva et al., 2011b) may be an interesting avenue

800 for exploration in DS-BCI research. Their use of rhyming words and/or homophones is  
801 commonly applied in linguistics (Badre et al., 2005; Filik and Barber, 2011) to allow  
802 researchers to know whether participants are using imagined speech or resorting to other  
803 linguistic/cognitive strategies. For example, ‘might’ and ‘mite’ are homophones, while ‘ear’  
804 and ‘oar’ are not. These are tasks that could not be solved by orthography alone and thus require  
805 the use of imagined speech.

806 Research methodology using overt speech to represent imagined speech within experimental  
807 paradigms is flawed, at least to some degree. Overt speech-trained models for example, are an  
808 active research area but it must be understood that neural representations of overt and imagined  
809 speech are not identical (Chakrabarti et al., 2015). Hubbard (Hubbard, 2010) reflects that  
810 differences in experimental results between overt and imagined speech may simply be a  
811 function of a participant’s ability to self-monitor and report accurately. There is general  
812 agreement that overt speech engages greater activation across a broader network of the brain  
813 than imagined speech, with areas including the mesial temporal lobe and sub-cortical structures  
814 (Kielar et al., 2011). Due to some notable differences observed from neural responses in overt  
815 and imagined conditions, inferences drawn from language processing studies should be  
816 considered with caution (Llorens et al., 2011). However, Iljina et al. (Iljina et al., 2017) believe  
817 that the body of research presented on both overt and imagined speech supports the premise of  
818 being able to decode expressive language from neuronal processes as well as translation of  
819 findings from overt to imagined speech.

820 Experimental results can be negatively affected by experimental conditions and an alternative  
821 approach to improving the robustness of results in relation to speech production and  
822 communicative interaction is the use of non-experimental, “real-world” speech (Derix et al.,  
823 2014, 2012; Ruescher et al., 2013). Spontaneous language can reflect mental states and thus  
824 constitutes a fundamental link between externally-observable behaviour and internal cognitive  
825 processes (Derix et al., 2014). Using their methodology, in which simultaneous ECoG and  
826 digital video recordings are used to identify periods of spontaneous communication between  
827 interlocutors, the group cited above has conducted studies based on concepts developed in  
828 psycholinguistic research into spontaneously-spoken language. The authors highlight the  
829 importance of study paradigms in which real-world situations can be investigated in a way not  
830 possible under strict experimental procedures. They present the use of stimuli such as  
831 naturalistic texts, recordings of interacting individuals and virtual reality simulations as  
832 associated methods being employed elsewhere (Derix et al., 2014).



833 In a series of studies, the research team used their methodology to study the neuronal processes  
834 related to real-life communication in a non-experimental scenario (Derix et al., 2014, 2012;  
835 Ruescher et al., 2013). This involved a technique for identifying time-periods in which patients  
836 were involved in conversation with either partners or physicians (Derix et al., 2012). Extracted  
837 epochs consisted of periods of natural, uninstructed conversation, with the results indicating  
838 that the choice of linguistic and non-linguistic behaviours depends on whom a person is  
839 speaking with. The authors suggest that such meta-information may have utility in BCI  
840 applications aimed at restoration of expressive speech. Although non-experimental conditions  
841 do facilitate the study of spontaneous speech, it is important to acknowledge, as the research  
842 team has, that participants' behaviour may be moderated by the knowledge that they are under  
843 surveillance, and therefore not completely natural (Derix et al., 2014). However, we agree with  
844 Iljina et al. (Iljina et al., 2017) that a thorough understanding of brain activity during real-world  
845 speech is required for the development of truly naturalistic DS-BCI.

846 As indicated throughout this review, there are several ways in which DS-BCI research can  
847 benefit from neurolinguistics research advances. Understanding the phenomena of imagined  
848 speech and individual speech processes is crucial, but looking towards neurolinguistics to  
849 enhance experimental methodology and interpretation of results is also advocated here. Other  
850 avenues exist for exploration of improvements to the performance of DS-BCIs, including signal  
851 acquisition and advanced classification algorithms, but it would be wrong to ignore the  
852 potential utility of cross-disciplinary research in neurolinguistics and DS-BCI.

## 853 **6 Concluding Remarks**

854 Development of a DS-BCI is an extremely challenging undertaking. It is the assertion of this  
855 review that a cross-disciplinary approach must be taken to advance the field towards a  
856 naturalistic form of communication. Here, we advocate the integration of neurolinguistics  
857 within the DS-BCI paradigm for the improvement of experimental methodology and to aid  
858 approaches to the decoding of neural signals. Insights into the nature of imagined speech, and  
859 speech production processes, can inform research practices, while methodological approaches  
860 common in linguistics can help improve procedural robustness in studies involving imagined  
861 speech.

862 Clearly, there is no definitive description of the phenomena of imagined speech. Independently  
863 depicted as a truncated form of overt speech, as showing greater activation in several brain  
864 regions than overt speech and as having attenuated features in comparison with overt speech,

865 imagined speech is still relatively poorly understood. Continuing research into imagined speech  
866 from a neurolinguistics perspective will be vital for DS-BCI. Imagined speech manifests itself  
867 in different forms, whether that be through active or passive generation of imagined speech,  
868 through accent, rhythm or pitch, or through conversational or single-speaker scenarios. That  
869 being the case, future research in this field must make it abundantly clear to experimental  
870 participants precisely what is being asked of them. The field of neurolinguistics can help inform  
871 DS-BCI research on methods for targeting the imagined speech content required. Not unrelated  
872 to this is the potential for additional information to be encoded in the neural recordings  
873 extracted during periods of imagined speech production. Working memory and imagined  
874 speech appear to be intrinsically linked and imagined speech trials are susceptible to influence  
875 from the auditory or visual cues presented. It is therefore important that experimental  
876 methodologies and decoding approaches mitigate against this unwanted content where possible.

877 This review has shown that not only is DS-BCI concerned with the phenomena of imagined  
878 speech and how it differs from overt speech, but also with the neuroanatomy and specific  
879 processes involved in the production of speech. Speech production is a temporal process with  
880 a hierarchical structure and it is clear that it cannot be considered a single function localised in  
881 a single brain region. Evidence has been presented from neurolinguistics research to indicate  
882 that different systems of speech production, such as semantics and syntax, operate at distinct  
883 time periods (sometimes overlapping) across a distributed network of brain regions, and that  
884 these systems activate patterns of brain activity which may be useful for approaches to  
885 decoding imagined speech.

886 A fully-functioning DS-BCI may, at present, seem a long way off and it may appear that there  
887 are more pressing concerns, such as improving signal acquisition, for the field to be focused  
888 on at present. However, it is our contention that it would be remiss to ignore the field of  
889 neurolinguistics in DS-BCI research, given the potential benefits it can offer in the short-term  
890 and the high-probability that it will be required in the longer-term development of a naturalistic  
891 mode of communication.

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## 894 **AUTHOR CONTRIBUTIONS**

895 Conceptualization, C.C., R.F., and D.C.; writing: original draft, C.C; writing: review and  
896 editing R.F. and D.C.

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## 1356 **Figure Descriptions**

1357 **Figure 5 Seeking a Naturalistic form of communication through Direct-speech BCI. A**  
1358 **DS-BCI is a system that decodes neural signals (e.g., electroencephalography (EEG) or**

1359 electrocorticography (ECoG) (B) corresponding to imagined speech (A). Recorded  
1360 signals are processed to facilitate maximal information extraction and improvement of  
1361 signal-to-noise ratio (C). The feature extraction (D) and classification (E) stages compute  
1362 the most discriminative information in the recorded signals and classify them as a part-  
1363 of-speech. The output of a DS-BCI system is a textual representation of the imagined  
1364 speech (F) and auditory representation which can be used for both communication and  
1365 feedback (G).

1366 In this example, the user actively produces the words “I am thirsty!” with imagined speech.  
1367 The signals acquired are temporally-aligned with each word to facilitate feature extraction and  
1368 classification. The system produces two outputs: a text print-out of the imagined speech words  
1369 being produced and a synthesised audio output, i.e. “I am thirsty!”

1370 **Figure 6 Direct-speech BCI studies categorised according to recording techniques and**  
1371 **types of speech. (A) is a cross-categorisation of DS-BCI studies according to the recording**  
1372 **techniques applied and the types of speech being investigated. The time-period for this**  
1373 **analysis begins with the study of Blakely et al. (Blakely, Miller, Rao, Holmes, & Ojemann,**  
1374 **2008), due to this being the first study based on the BCI paradigm depicted, and runs to**  
1375 **2018. Criteria for inclusion in this analysis are those studies using said recording**  
1376 **techniques to decode speech production (overt, imagined, and intended) directly from**  
1377 **neural activity. EEG and ECoG are the most-often used recording approaches. High**  
1378 **temporal resolution is an important feature of both. Although micro-electrodes do offer**  
1379 **high spatial and temporal resolution, their use is not always possible or appropriate.**  
1380 **Overt speech has been used as a proxy for imagined speech, or in comparative studies.**  
1381 **The behavioural difficulty of studying imagined speech is, at least in-part, a reason for**  
1382 **this trend. The two bar-graphs (B) show the distribution of measurement techniques and**  
1383 **of types of speech used across all studies. ECoG is utilised in a total of twenty studies and**  
1384 **EEG in a total of sixteen. See Table 2.**

1385 **Figure 7 Neuroanatomical regions associated with imagined speech production. The**  
1386 **diagram depicts brain regions typically associated with language function in the left-**  
1387 **hemisphere (Berwick, Friederici, Chomsky, & Bolhuis, 2013), with each of the numbered**  
1388 **sections indicating one of Brodmann’s Areas (BA). The IFG, which includes BA44 and**  
1389 **BA45, is the most common region associated with imagined speech production. Single**  
1390 **word and sentence production both activate the IFG, and the region is thought to be**  
1391 **associated with word retrieval and associated meanings (BA45). Both the STG and MTG**

1392 have been implicated in imagined speech studies as relating to the phonological loop and  
1393 to production of dialogic imagined speech. The dorsal pathways between BA44 and the  
1394 posterior superior temporal cortex (pSTC) supports core syntactic processes. The ventral  
1395 pathways, including between BA45 and the temporal cortex (TC), support processing of  
1396 semantic and conceptual information. Reprinted with permission from (Berwick et al.,  
1397 2013), copyright 2013, Elsevier.

1398 **Figure 8 Speech production models with estimated time courses.** Although models can  
1399 differ in the number of components, there is general agreement that speech production is  
1400 a staged, hierarchical process with a temporal structure, as indicated in the diagram. In  
1401 (A), estimated time courses associated with the stages of production are provided in  
1402 milliseconds (ms) (Indefrey, 2011) along with a production model containing two major  
1403 components. These are the word (lemma) level and the phonological level (Hickok, 2012).  
1404 In (B), a more detailed model depicts several different phases in the production process  
1405 (Levelt, Roelofs, & Meyer, 1999). The initial stage is conceptual preparation, where a  
1406 message to be expressed is formulated and a lexical concept produced. Next is lexical  
1407 selection, in which a word or lemma is retrieved for use. Following selection of a lemma,  
1408 the morphological stage bridges between the conceptual domain and the phonological, or  
1409 articulatory domain. A word is then encoded in syllabic form before being encoded in  
1410 phonetic form, from which the audible output is produced. In (C), a truncated version of  
1411 the model in (A) is presented to highlight the stages of production corresponding to  
1412 imagined speech. The estimated time courses end with the phonological  
1413 encoding/syllabification stage. A is adapted with permission from (Hickok, 2012),  
1414 copyright 2012, Springer Nature. B is adapted with permission from (Levelt, Roelofs, &  
1415 Meyer, 1999), copyright 1999, Cambridge University Press. \*upper boundary.

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